

J.P.Morgan

To:

U.S. Securities and Exchange Commission (SEC)
ANEexceptionnotices@sec.gov

August 5, 2021

ANE Exception Notice

As required by SEC Rule 240.3a71-3(d)(1)(vi), we are hereby notifying the SEC that associated persons of J.P. Morgan Securities LLC, acting as a “registered entity” as described in SEC Rule 240.3a71-3(d)(1)(i), may conduct activity as described in SEC Rule 240.3a71-3(d)(1)(i).

J.P. Morgan Securities LLC