## Alternative Trading Systems with Form ATS on File with the SEC as of April 30, 2019

Full Name of Alternative Trading System (as provided in item 1.A. of Form ATS)

American Ledger ATS

Name(s) Under Which Business Is<br/>Conducted (as provided in item 1.B. of<br/>Form ATS)City and State of<br/>Alternative Trading<br/>System (as provided in<br/>item 1.F. of Form ATS)American Ledger ATS LLCMoorpark, CAClarity BidRate Alternative Trading<br/>SystemBarrington, ILAQS, EquiLend Clearing Services (ECS)New York, NY

| Arbor Research and Trading LLC                  | Clarity BidRate Alternative Trading<br>System       | Barrington, IL       |
|---|---|----------------------|
| Automated Equity Finance Markets,<br>Inc.       | AQS, EquiLend Clearing Services (ECS)               | New York, NY         |
| AX Trading, LLC                                 |   | New York, NY         |
| BGC Financial L.P.                              |   | New York, NY         |
| BrokerTec                                       | BrokerTec Americas LLC                              | New York, NY         |
| Creditex Securities Corporation                 | ICE BondPoint                                       | New York, NY         |
| Creditex Securities Corporation                 | ICE Credit Trade                                    | New York, NY         |
| Dash ATS  |   | Chicago, IL          |
| DBOT ATS, LLC                                   |   | Wilmington, DE       |
| DBVX  |   | New York, NY         |
| Dealerweb, Inc.                                 | Dealerweb   | Jersey City, NJ      |
| Elixium NA                                      | Elixium NA, DBA of TFS Derivatives, LLC             | New York, NY         |
| EquiLend LLC                                    |   | New York, NY         |
| FixCenter, LLC                                  |   | Long Island City, NY |
| FNC AG Stock, LLC                               |   | Grand Forks, ND      |
| GFI Securities LLC                              | CreditMatch (LATG)                                  | New York, NY         |
| GFI Securities LLC                              |   | New York, NY         |
| GFI Securities LLC                              | FENICS Delta X (FNIX)                               | New York, NY         |
| GLMX Technologies, LLC                          |   | New York, NY         |
| Global OTC                                      | Archipelago Trading Services, Inc.                  | Chicago, IL          |
| HTDonline                                       | Hartfield, Titus & Donnelly, LLC                    | Jersey City, NJ      |
| ICAP Trading Systems                            | ICAP Corporates LLC                                 | New York, NY         |
| IDX Markets                                     | IDX Markets, LLC                                    | New York, NY         |
| Interactive Brokers LLC                         | IBKR ATS  | Greenwich, CT        |
| Lending Club Trading Market                     | Folio Investments, Inc.                             | McLean, VA           |
| Liquidnet Fixed Income ATS                      |   | New York, NY         |
| Maps ECN  | Marco Polo Securities Inc.                          | New York, NY         |
| MarketAxess Corporation Mid-X<br>Trading System | Mid-X System; Mid-X                                 | New York, NY         |
| MBS Source eTrading, Inc.                       | MBS Marketplace; MBS eTrading                       | Mercer Island, WA    |
| MTS BondsPro                                    |   | New York, NY         |
| MuniAxis ATS                                    |   | Wellesley, MA        |
| Nasdaq Fixed Income                             | Execution Access, LLC                               | New York, NY         |
| North Capital Private Securities<br>Corp.       | Public Private Execution Network, PPEX,<br>PPEX ATS | Salt Lake City, UT   |
| NPM Securities, LLC                             |   | New York, NY         |
| NXP   | Dash Financial Technologies LLC                     | Chicago, IL          |

| Orchard ATS   | Orchard Platform Markets LLC                    | New York, NY      |
|---|---|-------------------|
| OTC Link ECN  |   | New York, NY      |
| OTC Link LLC  | OTC Link ATS                                    | New York, NY      |
| PRO Securities, LLC                                 |   | New York, NY      |
| REALCADRE LLC                                       |   | New York, NY      |
| Rialto Trading Network LLC                          |   | New York, NY      |
| Sageworks Capital, LLC                              | CFX Markets and OpenFinance Network             | New York, NY      |
| SharesPost Financial Corporation                    |   | San Francisco, CA |
| Spread Zero LLC                                     | Spread Zero or Spread Zero ATS                  | New York, NY      |
| Structured Credit Connection                        |   | New York, NY      |
| Templum Markets LLC                                 | Templum Markets                                 | New York, NY      |
| TMC Bonds, LLC                                      |   | New York, NY      |
| TP Trading Systems                                  | Tullet Prebon Financial Services LLC            | New York, NY      |
| Tradeweb Direct LLC                                 | Tradeweb Direct                                 | New York, NY      |
| Trumid ATS  |   | New York, NY      |
| Variable Investment Advisors, Inc.<br>ATS (VIA-ATS) | www.AGSTOCKTRADE.COM,<br>www.LIQUIDITYMAKER.COM | Sioux Falls, SD   |
| Venovate Marketplace Inc.                           |   | Cardiff, CA       |
| Zanbato ATS   | ZX  | Mountain View, CA |

## **Ceased Operations**

| -             | Conducted (as provided in item 1.B. of Form ATS) | City and State of<br>Alternative Trading<br>System (as provided in<br>item 1.F. of Form ATS) |
|---------------|--|--|
| BondVision US |  | New York, NY   |