## Alternative Trading Systems with Form ATS on File with the SEC as of November 30, 2022

| Full Name of Alternative Trading Name(s) Under Which Business Is City and State of |  |   |  |  |
|--|--|---|--|--|
| System (as provided in item 1.A. of Form ATS)                                      | Conducted (as provided in item 1.B. of Form ATS) | Alternative Trading<br>System (as provided in |  |  |
| ABE ATS  | 303 Alternatives LLC                             | item 1.F. of Form ATS)<br>Chicago, IL         |  |  |
|  | Clarity BidRate Alternative Trading              | -   |  |  |
| Arbor Research and Trading LLC   | System   | Barrington, IL                                |  |  |
| Automated Equity Finance Markets,<br>Inc.  | AQS, EquiLend Clearing Services (ECS)            | New York, NY                                  |  |  |
| BGC Financial L.P.   |  | New York, NY                                  |  |  |
| BGC Financial L.P. Fenics Credit<br>ATS  | Fenics Credit                                    | New York, NY                                  |  |  |
| BrokerTec  | BrokerTec Americas LLC                           | New York, NY                                  |  |  |
| CartaX   | Carta Capital Markets, LLC                       | New York, NY                                  |  |  |
| Cicada ATS   | Cicada Securities, LLC                           | Greenwich, CT                                 |  |  |
| ClearList LLC  |  | New York, NY                                  |  |  |
| Dash Financial Technologies LLC  | Dash ATS   | Chicago, IL                                   |  |  |
| Dealerweb, Inc.  | Dealerweb  | Jersey City, NJ                               |  |  |
| EquiLend LLC   |  | New York, NY                                  |  |  |
| EQX LLC  |  | New York, NY                                  |  |  |
| Figure ATS   |  | San Francisco, CA                             |  |  |
| Forge Markets  | Forge Securities LLC                             | San Francisco, CA                             |  |  |
| FNC AG Stock, LLC  |  | Grand Forks, ND                               |  |  |
| GFI Securities LLC   | CreditMatch (LATG); Fenics-PM                    | New York, NY                                  |  |  |
| GFI Securities LLC   |  | New York, NY                                  |  |  |
| GLMX Technologies, LLC   |  | New York, NY                                  |  |  |
| Global OTC   | Archipelago Trading Services, Inc.               | Chicago, IL                                   |  |  |
| Hiive Markets Limited  | Hiive  | New York, NY                                  |  |  |
| HTD-ATS  | Hartfield, Titus & Donnelly, LLC                 | Jersey City, NJ                               |  |  |
| ICE Bonds Securities Corporation   | ICE BondPoint                                    | New York, NY                                  |  |  |
| ICE Bonds Securities Corporation   | ICE TMC  | New York, NY                                  |  |  |
| IDX Markets  | IDX Markets, LLC                                 | New York, NY                                  |  |  |
| Interactive Brokers LLC  | IBKR ATS   | Greenwich, CT                                 |  |  |
| InvestX Markets LLC  | InvestX GEM                                      | New York, NY                                  |  |  |
| INX Securities, LLC  | INX Securities; INXS                             | New York, NY                                  |  |  |
| IOI Capital and Markets, LLC   | iownit.us  | Houston, TX                                   |  |  |
| KopenTech Capital Markets  |  | Los Angeles, CA                               |  |  |
| LEX Markets LLC  | LEX  | New York, NY                                  |  |  |
| Liquidly IAS, LLC  |  | New York, NY                                  |  |  |
| Liquidnet Fixed Income ATS   |  | New York, NY                                  |  |  |
| M Share Capital LLC  |  | San Francisco, CA                             |  |  |
| MarketAxess ATS  | Live Markets                                     | New York, NY                                  |  |  |
| MBS Source eTrading, Inc.  |  | Mercer Island, WA                             |  |  |
| MTS BondsPro   |  | New York, NY                                  |  |  |
| MuniAxis ATS   |  | Wellesley, MA                                 |  |  |

| NFSTX, LLC  |   | New York, NY       |
|---|---|--------------------|
| North Capital Private Securities                    | Public Private Execution Network, PPEX          | Salt Lake City, UT |
| Corporation   | or PPEX ATS                                     | -                  |
| NPM Securities, LLC                                 |   | San Francisco, CA  |
| Oasis Pro Markets, LLC                              |   | Darien, CT         |
| OTC Link ECN  |   | New York, NY       |
| OTC Link LLC  | OTC Link ATS                                    | New York, NY       |
| OTC Link NQB IDQS                                   |   | New York, NY       |
| Prometheum Ember ATS Inc.                           | Prometheum ATS; PEATS                           | New York, NY       |
| Provable Markets LLC                                |   | New York, NY       |
| REALCADRE LLC                                       |   | New York, NY       |
| Realto Securities Alternative Trading<br>System     | Realto Securities, LLC                          | Overland Park, KS  |
| The Rialto MarketBoard                              | Rialto Markets LLC                              | New York, NY       |
| SecondRE Markets LLC                                |   | Brooklyn, NY       |
| Securitize Markets ATS                              |   | New York, NY       |
| ShareNett ATS                                       | ShareNett Securities, LLC                       | New York, NY       |
| SI Securities, LLC                                  | SeedInvest                                      | New York, NY       |
| StartEngine Secondary                               |   | West Hollywood, CA |
| Structured Credit Connection                        |   | New York, NY       |
| Symbridge Capital LLC                               | Symbridge Capital                               | Greenwich, CT      |
| Templum Markets LLC                                 | Templum Markets                                 | New York, NY       |
| TEXTURE ATS   | TEXTURE CAPITAL INC.                            | Brooklyn, NY       |
| TP ICAP Global Markets Americas<br>LLC              | ICAP Corporates LLC                             | New York, NY       |
| TP Trading Systems                                  | Tullet Prebon Financial Services LLC            | New York, NY       |
| Tradeweb Direct LLC                                 | Tradeweb Direct                                 | New York, NY       |
| Trumid ATS  |   | New York, NY       |
| tZERO ATS, LLC                                      |   | New York, NY       |
| Variable Investment Advisors, Inc.<br>ATS (VIA-ATS) | www.AGSTOCKTRADE.COM,<br>www.LIQUIDITYMAKER.COM | Sioux Falls, SD    |
| Wall Street Access                                  |   | New York, NY       |
| Zanbato ATS   | ZX  | Mountain View, CA  |
| Ziv Investment Company                              |   | Chicago, IL        |

## **Ceased Operations**

| System (as provided in item 1.A. | Conducted (as provided in item 1.B. of Form ATS) | City and State of<br>Alternative Trading<br>System (as provided in<br>item 1.F. of Form ATS) |
|----------------------------------|--|--|
| Dealerweb Fixed Income           | Execution Access, LLC                            | New York, NY   |
| GFI Securities LLC               | FENICS DeltaX (FNIX)                             | New York, NY   |