Alternative Trading Systems with Form ATS on File with the SEC as of March 1, 2015

Full Name of Alternative Trading
System (as provided in item 1.A. Conducted (as provided in item 1.B. of Alternative Trading
of Form ATS)

System (as provided in item 1.F. of Form ATS)

City and State of
Conducted (as provided in item 1.B. of Alternative Trading
System (as provided in item 1.F. of Form ATS)

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|--------------------------------------------------------------------------|--------------------------------------------------------------------------|------------------------|
| Apogee | Citadel Securities LLC | Chicago, IL |
| Aqua Securities L.P. | | New York, NY |
| Arbor Research and Trading Inc. | Clarity BidRate Alternative Trading System | Barrington, IL |
| ATS-1 | Morgan Stanley & Co. Incorporated | New York, NY |
| ATS-1 (Merrill Lynch) | | New York, NY |
| ATS-4 | Morgan Stanley & Co. Incorporated | New York, NY |
| ATS-6 | Morgan Stanley & Co. Incorporated | New York, NY |
| Automated Equity Finance Markets, Inc. | AQS | Jersey City, NJ |
| Barclays ATS | LX | New York, NY |
| Barclays DirectEx | Barclays Capital, Inc. | New York, NY |
| BGC Financial L.P. | BGC Financial | New York, NY |
| BIDS Trading, L.P. | BIDS Trading | New York, NY |
| BLOOMBERG TRADEBOOK LLC, as operator of BLOOMBERG TRADEBOOK SYSTEM | TRADEBOOK | New York, NY |
| Bondcube | Bondcube Inc. | Stamford, CT |
| Brilliquid LLC | Brilliquid.com | Short Hills, NJ |
| Brokertec | ICAP Electronic Broking LLC | Jersey City, NJ |
| CITICROSS | Citi Cross is owned and operated by Citigroup Global Markets Inc. (CGMI) | New York, NY |
| Credit Suisse Securities (USA) LLC | CrossFinder | New York, NY |
| Credit Suisse Securities (USA) LLC | Light Pool | New York, NY |
| Dealerweb, Inc. | Dealerweb | Jersey City, NJ |
| DEEP ATS, LLC | | Houston, TX |
| Deutsche Bank Securities, Inc. | SuperX | New York, NY |
| eBX LLC | Level ATS | Boston, MA |
| Electronifie | Electronifie Securities LLC | New York, NY |
| EquiLend LLC | | New York, NY |
| Fantex Brokerage Services | | San Francisco, CA |
| FixCenter, LLC | | New York, NY |
| FNC AG Stock, LLC | | Grand Forks, ND |
| Folio Investing Note Trader Platform | Foliofn Investment, Inc. | McLean, VA |
| FOLIOfn Investments, Inc. | | McLean, VA |
| GATE US LLC | | New York, NY |
| GFI Securities LLC | | New York, NY |
| Global OTC | Archipelago Trading Services, Inc. | Chicago, IL |

| Hartfield, Titus & Donnelly LLC | HTDonline | Jersey City, NJ |
|----------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|
| ICAP Trading System | ICAP Corporates | Jersey City, NJ |
| ICE Creditbook | Creditex Securities Corp. | New York, NY |
| IEX ATS | IEX Services LLC | New York, NY |
| Instinct X | | New York, NY |
| Instinet Continuous Block Crossing System (CBX) | Instinet, LLC | New York, NY |
| Instinet Crossing | Instinet, LLC | New York, NY |
| Interactive Brokers LLC | | Greenwich, CT |
| J.P. Morgan ATS ("JPM-X") | J.P. Morgan Securities LLC | New York, NY |
| JET-X | | New York, NY |
| JSVC LLC | | Honolulu, HI |
| KCG BondPoint | KCG BondPoint, a division of Knight Capital Americas LLC | Jersey City, NJ |
| Knight Capital Americas LLC | Knight Match | Jersey City, NJ |
| Lending Club Trading Market | FOLIOfn Investments, Inc. | Arlington, VA |
| Liquidity Finance LLC | | Stamford, CT |
| Liquidnet Fixed Income ATS | | New York, NY |
| LiquidNet H2O ATS | | New York, NY |
| Liquidnet Negotiation ATS | | New York, NY |
| LIQUIFI | LIQUIFI is owned and operated by Citigroup Global Markets, Inc. (CGMI) and CitiCross (CX) are functionalities of LIQUIFI and may be referred as such | New York, NY |
| Maps ECN | Marco Polo Securities | New York, NY |
| MarketAxess Corporation Mid-X Trading System | Mid-X System | New York, NY |
| MarketAxess Corporation Single- Name CDS Central Limit Order Book | MarketAxess CDS CLOB | New York, NY |
| MBS Source eTrading, Inc. | | Mercer Island, WA |
| Millennium | ConvergEX Execution Solutions LLC | New York, NY |
| Mismi, Inc. | | New York, NY |
| MTS BondsPro | BondPro | Boca Raton, FL |
| MTS Markets International, Inc. | | New York, NY |
| National Financial Services, LLC | CrossStream, CrossStream BLOX SM (BLOCK Liquidity Opportunity Cross) | Boston, MA |
| NPM Securities , LLC | | San Francisco, CA |
| NXP | ConvergEx Execution Solutions | New York, NY |
| OpenBondX LLC | | Miami Beach, FL |
| OTC Link LLC | OTC Link ATS | New York, NY |
| PDQ ATS Inc. | | Glenview, IL |
| POSIT | | New York, NY |
| PRO Securities, LLC | | Newark, NJ |
| Real Time Platform | Creditex Securities Corp. | New York, NY |
| RiverCross | River Cross Securities, LLLP | Bala Cynwyd, PA |
| Shares Post Financial Corporation | | San Bruno, CA |

| SIGMA X | Sigma X is owned and operated by Goldman Sachs Execution & Clearing, LP (GSEC) | Jersey City, NJ |
|-----------------------------------------------------|--------------------------------------------------------------------------------|-------------------|
| Spread Zero LLC | Spread Zero or Spread Zero ATS | New York, NY |
| State Street Global Markets LLC | BlockCross ATS | Boston, MA |
| Structured Credit Connection | | New York, NY |
| Sutter Securities Incorporated | Zanbato ATS | San Francisco, CA |
| TMC Bonds L.L.C. | | New York, NY |
| Tradeweb Direct LLC (f/k/a BondDesk Trading LLC) | | New York, NY |
| Tripleshot, LLC | | Boston, MA |
| TruMid ATS | | New York, NY |
| Tullet Prebon Financial Services LLC | tpCreditDeal | Jersey City, NJ |
| UBS ATS | UBS Securities LLC | Stamford, CT |
| Variable Investment Advisors, Inc. ATS (VIAATS) | www.AGSTOCKTRADE.COM, www.LIQUIDITYMAKER.COM | Sioux Falls, SD |
| Venovate Marketplace Inc. | | San Francisco, CA |
| VortEx | ConvergEX Execution Solutions LLC | New York, NY |
| XE | Weeden & Co. | Greenwich, CT |

Cessation of Operations Reports Recently Filed

| Full Name of Alternative Trading System (as provided in item 1.A. of Form ATS) | Name(s) Under Which Business Is Conducted (as provided in item 1.B. of Form ATS) | City and State of Alternative Trading System (as provided in |
|--------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|--------------------------------------------------------------|
| ŕ | · | item 1.F. of Form ATS) |
| Curian Clearing, LLC | | Denver, CO |
| Grant Street Securities, Inc. | | Pittsburgh, PA |