FINAL ORDER- THIS PRELIMINARY DETERMINATION BECAME THE FINAL ORDER OF THE COMMISSION ON JUNE 28, 2022 PURSUANT TO RULE 21F-10(f) OF THE SECURITIES EXCHANGE ACT OF 1934

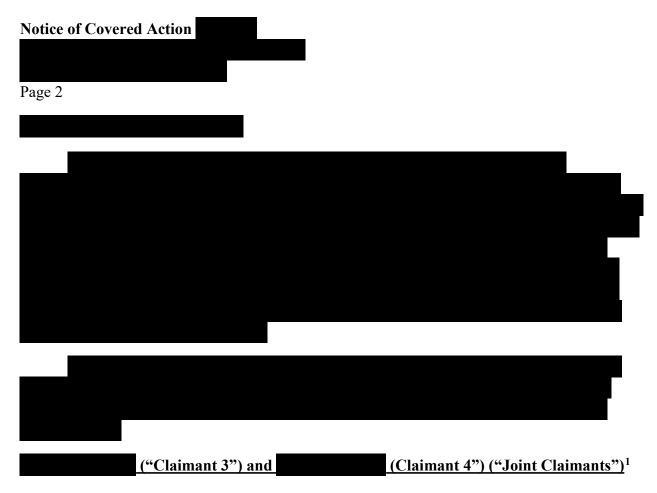
Notice of Covered Action	

PRELIMINARY DETERMINATIONS OF THE CLAIMS REVIEW STAFF

In response to the above-referenced Notice of Covered Action, the Securities and Exchange Commission received five whistleblower award claims, two of which were submitted jointly. Pursuant to Section 21F of the Securities Exchange Act of 1934 (the "Exchange Act") and Rule 21F-10 promulgated thereunder, the Claims Review Staff has evaluated each of these claims in accordance with the criteria set forth in Rules 21F-1 through 21F-18. The Claims Review Staff sets forth its Preliminary Determination for each award claimant as follows:



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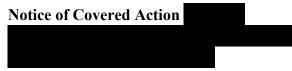


The Claims Review Staff has preliminarily determined to recommend that the Commission deny an award to the Joint Claimants. Joint Claimants did not provide information that led to the successful enforcement of the Covered Action within the meaning of Section 21F(b)(1) of the Exchange Act and Rules 21F-3(a)(3) and 21F-4(c) thereunder because any information the Joint Claimants provided did not: (1) cause the Commission to (i) commence an examination, (ii) open or reopen an investigation, or (iii) inquire into different conduct as part of a current Commission examination or investigation under Rule 21F-4(c)(1) of the Exchange Act; or (2) significantly contribute to the success of a Commission judicial or administrative enforcement action under Rule 21F-4(c)(2) of the Exchange Act.

In reaching this preliminary determination, we note that the Joint Claimants submitted a tip that did not relate to the party to the Covered Action or the factual and legal issues in the

¹ We have preliminarily determined to treat Claimant 3 and Claimant 4 jointly as a "whistleblower" for purposes of the award determination given that they jointly submitted their tip and their award applications discuss them as having provided the information jointly. *See* Exchange Act Section 21F(a)(6) (defining "whistleblower" to mean "2 or more individuals acting jointly who provide information relating to a violation of the securities laws to the Commission"). Our proceeding in this way has not impacted the preliminary determination.

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Covered Action or contain information that was used in or contributed to the Covered Action. Enforcement staff responsible for the Covered Action received no information from the Joint Claimants and had no communications with them.



By: Claims Review Staff

Date: April 11, 2022