



SEC INVESTOR ADVOCACY CLINIC SUMMIT

AGENDA AND TIMELINE

MARCH 1, 2024 | 11 a.m. – 4:30 p.m. ET

Live broadcast available at sec.gov:

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Time	Activity	Speakers/Moderator
11:00 a.m. – 11:50 a.m.	Brunch Reception: SEC Multipurpose Room	Hosted by the SEC Office of the Investor Advocate, Office of Investor Education and Advocacy, and Office of Minority and Women Inclusion
11:15 a.m. – 11:50 a.m.	Clinic Directors' Roundtable: SEC Auditorium	Moderated by Richard Berry, Director, FINRA Dispute Resolution Services
11:50 a.m. – 12:00 p.m.	Break	
12:00 p.m. – 12:05 p.m.	Summit Welcome and Chair Introduction	Stacy Puente, SEC Ombuds
12:05 p.m. – 12:15 p.m.	Opening Remarks	Hon. Gary Gensler, SEC Chair
12:15 p.m. – 12:45 p.m.	PANEL 1: THE CLINIC EXPERIENCE Presented by clinic students from: <ul style="list-style-type: none">• Fordham University Law• Northwestern Pritzker School of Law• St. John's University School of Law	Moderated by the SEC Office of the Investor Advocate <ul style="list-style-type: none">• Andrew Sporkin, Senior Advisor• Danielle Specce, Attorney Advisor
12:45 p.m. – 1:00 p.m.	Commissioner Q&A	Hon. Hester Peirce, SEC Commissioner
1:00 p.m. – 1:05 p.m.	Remarks, Introduction of Keynote Speaker	Qudsia Shafiq, Attorney Advisor, SEC Division of Examinations
1:05 pm – 1:25 p.m.	Keynote address	Nicole Iannarone, Associate Professor, Drexel University Kline School of Law
1:25 p.m. – 1:30 p.m.	Break	

Time	Activity	Speakers/Moderator
1:30 p.m. – 1:35 p.m.	Investor Advocate Remarks, Student Introduction	Cristina Martin Firvida, SEC Investor Advocate
1:35 p.m. – 1:55 p.m.	PANEL 2: ADVOCACY AND OUTREACH A discussion with clinic students from: <ul style="list-style-type: none"> • Cornell Law School • University of Miami School of Law 	Moderated by the SEC Office of Investor Education and Advocacy <ul style="list-style-type: none"> • John Moses, Deputy Director • Paul Saulski, Senior Counsel
1:55 p.m. – 2:10 p.m.	Commissioner Remarks	Hon. Jaime Lizárraga, SEC Commissioner
2:10 p.m. – 2:20 p.m.	Break	
2:20 p.m. – 2:25 p.m.	Director Remarks, Student Introduction	Richard Best, Director, SEC Division of Examinations
2:25 p.m. – 2:45 p.m.	PANEL 3: DIVERSITY, EQUITY, INCLUSION AND ACCESSIBILITY IN INVESTOR ADVOCACY A discussion with clinic students from: <ul style="list-style-type: none"> • Pace University School of Law • Seton Hall University School of Law 	Moderated by the SEC Office of Minority and Women Inclusion <ul style="list-style-type: none"> • Robert Marchman, Senior Policy Advisor, Diversity and Inclusion • John Sanders, Diversity Specialist/Program Manager
2:45 p.m. – 3:00 p.m.	Director Remarks	Eric Gerding, Director, SEC Division of Corporation Finance
3:00 p.m. – 3:05 p.m.	Director Remarks, Student Introduction	Gurbir Grewal, Director, SEC Division of Enforcement
3:05 p.m. – 3:35 p.m.	PANEL 4: THE CLINIC EXPERIENCE Presented by clinic students from: <ul style="list-style-type: none"> • Cardozo School of Law • Howard University School of Law • University of Pittsburgh School of Law 	Moderated by the SEC Office of the Investor Advocate <ul style="list-style-type: none"> • Richard Dominguez, Senior Counsel • Lisa Skrzycki, Senior Counsel
3:35 p.m. – 3:40 p.m.	Director Remarks, Introduction of Investor Advocate	William Birdthistle, Director, SEC Division of Investment Management
3:40 p.m. – 3:45 p.m.	Thank you and Closing Remarks	Cristina Martin Firvida, SEC Investor Advocate
3:45 p.m. – 4:30 p.m.	Post-Summit Reception— SEC Multipurpose Room	