

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 92623 / August 10, 2021

WHISTLEBLOWER AWARD PROCEEDING  
File No. 2021-82

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In the Matter of the Claim for an Award

in connection with

"Redacted"

"Redacted"

Notice of Covered Action "Redacted"

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**ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM**

The Claims Review Staff ("CRS") issued a Preliminary Determination recommending that "Redacted" ("Claimant") receive a whistleblower award of over \$17,000, which represents " " percent ( " %) of the monetary sanctions collected in the above-referenced Covered Action (the "Covered Action").

The recommendation of the CRS is adopted. The record demonstrates that Claimant voluntarily provided original information to the Commission<sup>1</sup> that led to the successful enforcement of the Covered Action.<sup>2</sup>

"Redacted"

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<sup>1</sup> Claimant satisfies Exchange Act Rule 21F-9(e) because the record reflects that Claimant submitted a Form TCR under penalty of perjury within 30 days of learning of the filing requirement, and Claimant otherwise qualifies for an award.

<sup>2</sup> See Securities Exchange Act of 1934 ("Exchange Act") Section 21F(b)(1), 15 U.S.C. § 78u-6(b)(1); Exchange Act Rule 21F-3(a), 17 C.F.R. § 240.21F-3(a).

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In reaching this determination, the Commission considered that Claimant alerted the Commission to an ongoing fraudulent scheme, which, in part, prompted the opening of the investigation, participated in several interviews with Commission staff, identified witnesses, and provided documents that provided important evidence of misrepresentations.

Accordingly, it is hereby ORDERED that Claimant shall receive an award of \*\*\* percent (\*\*\* %) of the monetary sanctions collected or to be collected in the Covered Action.

By the Commission.

Eduardo A. Aleman  
Deputy Secretary

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