## Exhibit A

14. List as Exhibit A any person as defined in Section 3(a)(9) of the Exchange Act that owns 10 percent or more of the applicant's stock or that, either directly or indirectly, through agreement or otherwise, in any other manner, may control or direct the management or policies of the applicant. State in Exhibit A the full name and address of each such person and attach a copy of the agreement or, if there is none written, describe the agreement or basis upon which such person exercises or may exercise such control or direction.

DTCC Data Repository (U.S.) LLC ("DDR") is 100% owned by:

DTCC Deriv/SERV LLC 55 Water Street New York, NY 10041

For the agreement reflecting the above relationship, see Exhibit A1, DDR Third-Fourth Amended and Restated Operating Agreement of DTCC Data Repository (U.S.) LLC.

DTCC Deriv/SERV LLC is 100% owned by:

The Depository Trust & Clearing Corporation 55 Water Street New York, NY 10041

For the agreement reflecting the above relationship, see Exhibit A2, Fifth Amended and Restated Operating Agreement of DTCC Deriv/SERV LLC