

SEC Field List

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
1	Field Name	Description	Submission Requirement	Data Format	Conditional Validation	Additional Validation	Rule Title	Rule Language	Submitted Field	XML Tag	Publicly Disseminated	Cleared Novation Message	Dispute Message	Rejection Message	UIC Message	Verification Message	Product Key
2	Action Type	The action type of the trade message	Required	Standard Data Value	N/A	Value must be 'N' first time a 'USI' is submitted. Value can not be 'N' if USI has	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt,	Y	<actionType>	Y	Required	Required	Required	Required	Required	N
3	Allocation Status	An indication of whether this is a pre-allocation or	Required	Standard Data Value	N/A	N/A	901(e)(2) - reporting of lifecycle events - all reports of lifecycle events	(e) Reporting of life cycle events; (2) All reports of life cycle	Y	<allocationStatus>	Not displayed, used for	NA	NA	NA	NA	NA	N
4	Asset Class	TV product related field. Will always be credit	Required or Derived (Product Field)	Standard Data Value	N/A	N/A	901(c)(1)(i) - primary trade info. - asset class	(c) Primary trade information. The reporting side shall	Y (or can be derived)	<assetClass>	Y	NA	NA	NA	NA	NA	Y
5	Alpha SBSDR ID	The LEI of the SBSDR that the alpha swap was	Conditionally Required	Free form text	Conditionally required on cleared	Must be valid LEI of an SBSDR	901(a)(2)(ii)(E)(6) - assigning reporting duties	(a) Assigning reporting duties. A security-based swap, including	Y	<alphaSBSDRID>	N	Required	NA	NA	NA	NA	N
6	Alpha Transaction ID	USI of the alpha trade	Conditionally Required	Free form text	Conditionally required on cleared	If populated must be valid Transaction ID Format	901(a)(2)(ii)(E)(6) - assigning reporting duties	(a) Assigning reporting duties. A security-based swap, including	Y	<alphaTransactionID>	N	Required	NA	NA	NA	NA	N
7	Bespoke Swap Flag	A flag indicating the swap is a bespoke product.	Required	Boolean	N/A	N/A	901(c)(1)(v) - primary trade info. - customized SBS data elements to	(c) Primary trade information. The reporting side shall	Y	<bespokeSwap>	Y	NA	NA	NA	NA	NA	N
8	Classification	References the high-level type of product.	Required or Derived (Product Field)	Standard Data Value	N/A	N/A	901(c)(1) - primary trade info. - product ID and other information	(c) Primary trade information. The reporting side shall	Y (or can be derived)	<classification>	Y	NA	NA	NA	NA	NA	Y
9	Cleared	An indication of the clearing status of the trade	Required	Boolean	N/A	N/A	901(e)(ii) - reporting of lifecycle events - acceptance for clearing	(e) Reporting of life cycle events; (1); (ii) Acceptance for	Y	<cleared>	Y	NA	NA	NA	NA	NA	N
10	Cleared Transaction ID 1	Transaction IDs for the beta swap to which the	Conditionally Required	Free form text	Conditionally required on cleared	If populated must be valid Transaction ID Format	901(g) - assigning transaction ID	(g) Assigning transaction ID. A registered security-	Y	<clearedTransactionID1>	N	Required	NA	NA	NA	NA	N
11	Cleared Transaction ID 1 Count	The number of Transaction IDs for the beta swap	Derived	Number	NA	NA	901(g) - assigning transaction ID	(g) Assigning transaction ID. A registered security-	Y	<clearedTransactionID1Count>	N	NA	NA	NA	NA	NA	N
12	Cleared Transaction ID 2	Transaction IDs of the resulting gamma swap(s)	Optional	Free form text	N/A	If populated must be valid USI Format	901(g) - assigning transaction ID	(g) Assigning transaction ID. A registered security-	Y	<clearedTransactionID2>	N	Required	NA	NA	NA	NA	N
13	Cleared Transaction ID 2 Count	The number of Transaction IDs for the gamma	Derived	Number	NA	NA	901(g) - assigning transaction ID	(g) Assigning transaction ID. A registered security-	Y	<clearedTransactionID2Count>	N	NA	NA	NA	NA	NA	N
14	Clearing Acceptance Timestamp	Indicates the time the trade was accepted for	Conditionally Required	Standard Data Value	Required if Cleared = Y	N/A	901(e)(ii) - reporting of lifecycle events - acceptance for clearing	(e) Reporting of life cycle events; (1); (ii) Acceptance for	Y	<clearingAcceptanceTimestamp>	N	NA	NA	NA	NA	NA	N
15	Clearing Agent ID	ID of clearing agent.	Conditionally Required	Free form text	Required if Clearing Agent Name is not	If Clearing Agent Source = LEI then must be valid LEI	901(d)(6) - secondary trade info. - the name of clearing agency to which	(d) Secondary trade information. In addition to the information	Y	<clearingAgentID>	N	Required	NA	NA	NA	NA	N
16	Clearing Agent ID Source	Source of ID for clearing agent.	Conditionally Required	Standard Data Value	Required if Clearing Agent Name is not	N/A	901(d)(6) - secondary trade info. - the name of clearing agency to which	(d) Secondary trade information. In addition to the information	Y	<clearingAgentIDType>	N	Required	NA	NA	NA	NA	N
17	Clearing Agent Name	Corporate name of clearing agent.	Derived	Standard Data Value		N/A	901(d)(6) - secondary trade info. - the name of clearing agency to which	(d) Secondary trade information. In addition to the information	N (derived from)	<clearingAgentName>	N	NA	NA	NA	NA	NA	N
18	Clearing Exception Party ID	ID of the Clearing Exception Party for end-user	Conditionally Required	Free form text	Required if Cleared = N and Mandatory	If populated must be Valid Company ID/LEI.	901(d)(7) - secondary trade info. - direct counterparties invoking the	(d) Secondary trade information. In addition to the information	Y	<clearingExceptionPartyID>	N	NA	NA	NA	NA	NA	N
19	Clearing Exception Party ID Source	ID Source of the Clearing Exception Party	Conditionally Required	Free form text	Required if Cleared = N and Mandatory	If populated must be LEI or Internal.	901(d)(7) - secondary trade info. - direct counterparties invoking the	(d) Secondary trade information. In addition to the information	Y	<clearingExceptionPartyIDType>	N	NA	NA	NA	NA	NA	N
20	Clearing Exception Type	Indicates the type of clearing exception invoked	Conditionally Required	Standard Data Value	Required if Cleared = N and Mandatory	N/A	901(d)(7) - secondary trade info. - direct counterparties invoking the	(d) Secondary trade information. In addition to the information	Y	<clearingExceptionType>	Y (displays Y or N)	NA	NA	NA	NA	NA	N
21	Clearing Receipt Timestamp	Indicates the time the trade was received for	Conditionally Required	Standard Data Value	Required if Cleared = Y	N/A	901(c)(6) - primary trade info. - direct counterparties intending to submit to	(c) Primary trade information. The reporting side shall	Y	<clearingReceiptTimestamp>	N	NA	NA	NA	NA	NA	N
22	CM Default Trade Flag	Flag for trades from the default for a clearing	Required	Boolean	N/A	N/A	901(c)(7) - primary trade info. - any flags set forth by the SBSDR policies and	(c) Primary trade information. The reporting side shall	Y	<clearingMemberDefaultTrade>	Y	NA	NA	NA	NA	NA	N
23	Collateral Agreement Date	The date the Collateral Agreement	Conditionally Required	Standard Data Value	Required if Collateral Agreement	N/A	901(d)(4) - secondary trade info. -date of collateral agreement	(d) Secondary trade information. In addition to the information	Y	<collateralAgreementDate>	N	NA	NA	NA	NA	NA	N
24	Collateral Agreement Type	The Collateral Agreement type between the trade	Conditionally Required	Standard Data Value	Required if Cleared = N	N/A	901(d)(4) - secondary trade info. -date of collateral agreement	(d) Secondary trade information. In addition to the information	Y	<collateralAgreementType>	N	NA	NA	NA	NA	NA	N
25	Compression Flag	Indication that the transaction has been subject to or	Required	Boolean	N/A	N/A	907(a)(4) - general policies and procedures - (i) identifying characteristics	(a) General policies and procedures. With respect to the receipt,	Y	<compression>	N	NA	NA	NA	NA	NA	N

SEC Field List

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
1	Field Name	Description	Submission Requirement	Data Format	Conditional Validation	Additional Validation	Rule Title	Rule Language	Submitted Field	XML Tag	Publicly Disseminated	Cleared Novation Message	Dispute Message	Rejection Message	UIC Message	Verification Message	Product Key
26	Confirmation Type	Indicates if the Confirmation was completed via	Required	Standard Data Value	N/A	N/A	901(d)(5) - secondary trade info. - any additional data elements in the SBS	(d) Secondary trade information. In addition to the information	Y	<confirmationType>	N	NA	NA	NA	NA	NA	N
27	Contract Type	Designates the type of derivative (e.g., Security-	Required or Derived (Product Field)	Standard Data Value	N/A	N/A	901(c)(1) - primary trade info. - product ID and other information	(c) Primary trade information. The reporting side shall	Y (or can be derived)	<contractType>	Y	NA	NA	NA	NA	NA	Y
28	Coupon	The Fixed Rate coupon amount paid by the	Required or Derived (Product Field)	Number	N/A	N/A	901(c)(1)(iv) - primary trade info. - the terms of any standardized fixed or	(c) Primary trade information. The reporting side shall	Y (or can be derived)	<coupon>	Y	NA	NA	NA	NA	NA	Y
29	Credit Event	Indicates the type of credit event.	Conditionally Required	Free form text	Required if Lifecycle Event Status equals	N/A	901(e)(2) - reporting of lifecycle events - all reports of lifecycle events	(e) Reporting of life cycle events; (2) All reports of life cycle	Y	<creditEvent>	N	NA	NA	NA	NA	NA	N
30	Credit Event Date	The date of the credit event	Conditionally Required	Date (yyyy-MM-dd)	Required if Lifecycle Event Status equals	N/A	901(e)(2) - reporting of lifecycle events - all reports of lifecycle events	(e) Reporting of life cycle events; (2) All reports of life cycle	Y	<creditEventDate>	N	NA	NA	NA	NA	NA	N
31	Cross Border Tick Exempt Flag	Flag for cross-border public dissemination	Required	Boolean	N/A	N/A	902(c)(5) - public dissemination of transaction reports - non-	(a) General. Except as provided in paragraph (c) of this section, a	Y	<crossBorderTickExempt>	Not displayed, used for	NA	NA	NA	NA	NA	N
32	Ctrpty 1 Affiliate ID	Party 1's affiliate IDs.	Derived	Standard Data Value	N/A	N/A	906(b) - other duties of participants - duty to provide ultimate parent	(b) Duty to provide ultimate parent and affiliate information.	N (repeating field)	<cp1AffiliateId>	N	NA	NA	NA	NA	NA	N
33	Ctrpty 1 Affiliate ID Source	Party 1's affiliate IDs sources.	Derived	Standard Data Value	N/A	N/A	906(b) - other duties of participants - duty to provide ultimate parent	(b) Duty to provide ultimate parent and affiliate information.	N (repeating field)	<cp1AffiliateIdType>	N	NA	NA	NA	NA	NA	N
34	Ctrpty 1 Branch ID	ID of the Branch of Party 1. For non reporting	Conditionally Required	Standard Data Value	Required if Cleared = N and Ctpy 1 is	N/A	901(d)(2) - secondary trade info. - (2) As applicable, the branch ID.	(d) Secondary trade information. In addition to the information	Y	<cp1BranchId>	N	NA	NA	NA	Optional	NA	N
35	Ctrpty 1 Broker ID	Indicates the ID of the Broker for Trade Party 1 if	Conditionally Required	Standard Data Value	Required if Cleared = N and Ctpy 1 is	N/A	901(d)(2) - secondary trade info. - branch ID of the direct counterparty on	(d) Secondary trade information. In addition to the information	Y	<cp1BrokerId>	N	NA	NA	NA	Optional	NA	N
36	Ctrpty 1 Broker ID Source	Indicates the ID Source of the Broker for Trade	Conditionally Required	Standard Data Value	Required if Cleared = N and Ctpy 1 is	LEI or Internal	901(d)(2) - secondary trade info. - broker ID of the direct counterparty on	(d) Secondary trade information. In addition to the information	Y	<cp1BrokerIdType>	N	NA	NA	NA	Conditionally Required (if Ctrpty 1	NA	N
37	Ctrpty 1 Contact Email	The buyer's contact email address.	Conditionally Required	Free form text	Required if Cleared = N and Mandatory	Valid email address (abc@domain.com)	906(a) - other duties of participants - identifying missing UIC information	(a) Identifying missing UIC information. A registered security-	Y	<cp1ContactEmail>	N	NA	NA	NA	NA	NA	N
38	Ctrpty 1 Desk ID	The client assigned identifier for the	Conditionally Required	Standard Data Value	Required if Cleared = N and Ctpy 1 is	N/A	901(d)(2) - secondary trade info. - trading desk ID of the direct	(d) Secondary trade information. In addition to the information	Y	<cp1DeskId>	N	NA	NA	NA	Optional	NA	N
39	Ctrpty 1 Clearing Member ID	The ID of the clearing member of the buyer	Conditionally Required	Conditionally Required	If Cleared = Y part 1 or 2 clearing	N/A	901(d)(5) - secondary trade info. - any additional data elements in the SBS	(d) Secondary trade information. In addition to the information	Y	<cp1ClearingMemberId>	N	NA	NA	NA	NA	NA	N
40	Ctrpty 1 Clearing Member Name	The name of the clearing member of the buyer	Conditionally Required	Derived		N/A	901(d)(5) - secondary trade info. - any additional data elements in the SBS	(d) Secondary trade information. In addition to the information	N	<cp1ClearingMemberName>	N	NA	NA	NA	NA	NA	N
41	Ctrpty 1 Clearing Member Source	The source of the ID of the clearing member for the	Conditionally Required	Required	If Cleared = Y part 1 or 2 clearing	N/A	901(d)(5) - secondary trade info. - any additional data elements in the SBS	(d) Secondary trade information. In addition to the information	Y	<cp1ClearingMemberIdSource>	N	NA	NA	NA	NA	NA	N
42	Ctrpty 1 Execution ID	LEI of execution agent for Party 1. For non reporting	Conditionally Required	Standard Data Value	Required if Cleared = N and Ctpy 1 is	N/A	901(d)(1) - secondary trade info. - the execution agent ID of each	(d) Secondary trade information. In addition to the information	Y	<cp1ExecutionId>	N	NA	NA	NA	Optional	NA	N
43	Ctrpty 1 ID	ID of Party 1 (Buyer)	Required	Free form text	N/A	If Ctrpty 1 ID Source equals LEI then must be a Valid LEI	901(d)(1) - secondary trade info. - the counterparty ID of each	(d) Secondary trade information. In addition to the information	Y	<cp1Id>	N	Required	Required	Required	Required	Required	N
44	Ctrpty 1 ID Source	ID Source of Party 1 (Buyer)	Required	Standard Data Value	N/A	N/A	901(d)(1) - secondary trade info. - the counterparty ID of each	(d) Secondary trade information. In addition to the information	Y	<cp1IdType>	N	Required	Required	Required	Required	Required	N
45	Ctrpty 1 Indirect Entity ID	ID of the guarantor entity of Party 1 For non	Conditionally Required	Standard Data Value	Required if Cleared = N and Ctpy 1 is	N/A	901(d)(1) - secondary trade info. - the counterparty ID of each	(d) Secondary trade information. In addition to the information	Y	<cp1IndirectEntityId>	N	NA	NA	NA	Optional	NA	N
46	Ctrpty 1 Indirect Entity ID Source	ID Source of the guarantor entity of Party 1	Conditionally Required	Standard Data Value	Required if Ctrpty 1 Indirect Entity ID is not	N/A	901(d)(1) - secondary trade info. - the counterparty ID of each	(d) Secondary trade information. In addition to the information	Y	<cp1IndirectEntityIdType>	N	NA	NA	NA	Conditionally Required (If ID is	NA	N
47	Ctrpty 1 Indirect Entity US Person	An indication of whether the party is US Person	Conditionally Required	Standard Data Value	Required if Ctrpty 1 Indirect Entity ID is not	N/A	908(b) - cross-border matters - limitation on obligations	(b) Limitation on obligations. Notwithstanding any other	Y	<cp1IndirectEntityUsPerson>	Not displayed, logic used:	NA	NA	NA	Optional	NA	N
48	Ctrpty 1 Name	Name of Party 1 (Buyer)	Conditionally Required	Standard Data Value	Derived if ID Source is LEI	N/A	901(d)(1) - secondary trade info. - the counterparty ID of each	(d) Secondary trade information. In addition to the information	Y (derived if LEI)	<cp1 Name>	N	NA	NA	NA	NA	NA	N
49	Ctrpty 1 Regulatory Designation	An indication of whether the party is a security	Conditionally Required	Standard Data Value	Required if Cleared = N and Ctpy 1 is	N/A	901(c)(5) - primary trade info. - registered security-based swap dealer	(c) Primary trade information. The reporting side shall	Y	<cp1RegulatoryType>	Y if SBS, otherwise N	NA	NA	NA	NA	NA	N

SEC Field List

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
1	Field Name	Description	Submission Requirement	Data Format	Conditional Validation	Additional Validation	Rule Title	Rule Language	Submitted Field	XML Tag	Publicly Disseminated	Cleared Novation Message	Dispute Message	Rejection Message	UIC Message	Verification Message	Product Key
50	Ctrpty 1 SEC Participant Ind	An indication of whether the party qualifies as an	Required	Boolean	N/A	N/A	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt,	Y	<cp1SecParticipant>	N	NA	NA	NA	NA	NA	N
51	Ctrpty 1 Third Party Reporter ID	If a Third Party reports on behalf of Counterparty 1,	Optional	Standard Data Value	N/A	N/A	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt,	Y	<cp1ThirdPartyReporterId>	N	Optional	Optional	Optional	Optional	Optional	N
52	Ctrpty 1 Third Party Reporter ID Source	If a Third Party reports on behalf of Counterparty 1,	Optional	Standard Data Value	N/A	N/A	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt,	Y	<cp1ThirdPartyReporterIdType>	N	Conditionally Required (If ID is	Conditionally Required (If ID is	Conditionally Required (If ID is	Conditionally Required (If ID is	Conditionally Required (If ID is	N
53	Ctrpty 1 Third Party Reporter Name	If a Third Party reports on behalf of Counterparty 1,	Optional	Standard Data Value	Derived if ID Source is LEI	N/A	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt,	Y (or can be derived)	<cp1ThirdPartyReporterName>	N	Optional	Optional	Optional	Optional	Optional	N
54	Ctrpty 1 Trader ID	ID of the Trader of Party 1 For non reporting side, if	Conditionally Required	Standard Data Value	Required if Cleared = N and Ctpy 1 is	N/A	901(d)(2) - secondary trade info. - trader ID of the direct counterparty on the	(d) Secondary trade information. In addition to the information	Y	<cp1TraderId>	N	NA	NA	NA	Optional	NA	N
55	Ctrpty 1 Ultimate Parent ID	Party 1's parent LEI/ID.	Derived	Standard Data Value		N/A	906(a) - other duties of participants - identifying missing UIC information	(a) Identifying missing UIC information. A registered security-	N	<cp1UltimateParentId>	N	NA	NA	NA	NA	NA	N
56	Ctrpty 1 Ultimate Parent ID Source	Party 1's parent ID Source.	Derived	Standard Data Value	LEI or Internal	N/A	906(a) - other duties of participants - identifying missing UIC information	(a) Identifying missing UIC information. A registered security-	N	<cp1UltimateParentIdType>	N	NA	NA	NA	NA	NA	N
57	Ctrpty 1 US Person Ind	An indication of whether the party is US Person	Required	Boolean	N/A	N/A	908(b) - cross-border matters - limitation on obligations	(b) Limitation on obligations. Notwithstanding any other	Y	<cp1USPerson>	Not displayed, logic used:	NA	NA	NA	Optional	NA	N
58	Ctrpty 2 Affiliate ID	Party 2's affiliate IDs.	Derived	Standard Data Value	N/A	N/A	906(b) - other duties of participants - duty to provide ultimate parent	(b) Duty to provide ultimate parent and affiliate information.	N (repeating field)	<cp2AffiliateId>	N	NA	NA	NA	NA	NA	N
59	Ctrpty 2 Affiliate ID Source	Party 2's affiliate IDs sources.	Derived	Standard Data Value	N/A	N/A	906(b) - other duties of participants - duty to provide ultimate parent	(b) Duty to provide ultimate parent and affiliate information.	N (repeating field)	<cp2AffiliateIdType>	N	NA	NA	NA	NA	NA	N
60	Ctrpty 2 Branch ID	ID of the Branch of Party 2. For non reporting	Conditionally Required	Standard Data Value	Required if Cleared = N and Ctpy 2 is	N/A	901(d)(2) - secondary trade info. - branch ID of the direct counterparty on	(d) Secondary trade information. In addition to the information	Y	<cp2BranchId>	N	NA	NA	NA	Optional	NA	N
61	Ctrpty 2 Broker ID	Indicates the ID of the Broker for Trade Party 2 if	Conditionally Required	Standard Data Value	Required if Cleared = N and Ctpy 2 is	N/A	901(d)(2) - secondary trade info. - broker ID of the direct counterparty on	(d) Secondary trade information. In addition to the information	Y	<cp2BrokerId>	N	NA	NA	NA	Optional	NA	N
62	Ctrpty 2 Broker ID Source	Indicates the ID Source of the Broker for Trade	Conditionally Required	Standard Data Value	Required if Cleared = N and Ctpy 2 is	LEI or Internal	901(d)(2) - secondary trade info. - broker ID of the direct counterparty on	(d) Secondary trade information. In addition to the information	Y	<cp2BrokerIdType>	N	NA	NA	NA	Conditionally Required (If Ctrpty 2	NA	N
63	Ctrpty 2 Contact Email	The Seller's contact email address.	Conditionally Required	Free form text	Required if Cleared = N and Mandatory	Valid email address (abc@domain.com)	906(a) - other duties of participants - identifying missing UIC information	(a) Identifying missing UIC information. A registered security-	Y	<cp2ContactEmail>	N	NA	NA	NA	NA	NA	N
64	Ctrpty 2 Desk ID	The client assigned identifier for the	Conditionally Required	Standard Data Value	Required if Cleared = N and Ctpy 2 is	N/A	901(d)(2) - secondary trade info. - trading desk ID of the direct	(d) Secondary trade information. In addition to the information	Y	<cp2DeskId>	N	NA	NA	NA	Optional	NA	N
65	Ctrpty 1 Clearing Member ID	The ID of the clearing member of the buyer	Conditionally Required	Conditionally Required	If Cleared = Y partt 1 or 2 clearing	N/A	901(d)(5) - secondary trade info. - any additional data elements in the SBS	(d) Secondary trade information. In addition to the information	Y	<cp1ClearingMemberId>	N	NA	NA	NA	NA	NA	N
66	Ctrpty 1 Clearing Member Name	The name of the clearing member of the buyer	Conditionally Required	Derived		N/A	901(d)(5) - secondary trade info. - any additional data elements in the SBS	(d) Secondary trade information. In addition to the information	N	<cp1ClearingMemberName>	N	NA	NA	NA	NA	NA	N
67	Ctrpty 1 Clearing Member Source	The source of the ID of the clearing member for the	Conditionally Required	Required	If Cleared = Y partt 1 or 2 clearing	N/A	901(d)(5) - secondary trade info. - any additional data elements in the SBS	(d) Secondary trade information. In addition to the information	Y	<cp1ClearingMemberIdSource>	N	NA	NA	NA	NA	NA	N
68	Ctrpty 2 Execution ID	LEI of execution agent for Party 2. For non reporting	Conditionally Required	Standard Data Value	Required if Cleared = N and Ctpy 2 is	N/A	901(d)(1) - secondary trade info. - the execution agent ID of each	(d) Secondary trade information. In addition to the information	Y	<cp2ExecutionId>	N	NA	NA	NA	Optional	NA	N
69	Ctrpty 2 ID	ID of Party 2 (Seller)	Required	Free form text	N/A	If Ctrpty 2 ID Source equals LEI then must be a Valid LEI	901(d)(1) - secondary trade info. - the counterparty ID of each	(d) Secondary trade information. In addition to the information	Y	<cp2Id>	N	Required	Required	Required	Required	Required	N
70	Ctrpty 2 ID Source	ID Source of Party 2 (Seller)	Required	Standard Data Value	N/A	N/A	901(d)(1) - secondary trade info. - the counterparty ID of each	(d) Secondary trade information. In addition to the information	Y	<cp2IdType>	N	Required	Required	Required	Required	Required	N
71	Ctrpty 2 Indirect Entity ID	ID of the guarantor entity of Party 2 For non	Conditionally Required	Standard Data Value	Required if Cleared = N and Ctpy 2 is	N/A	901(d)(1) - secondary trade info. - the counterparty ID of each	(d) Secondary trade information. In addition to the information	Y	<cp2IndirectEntityId>	N	NA	NA	NA	Optional	NA	N
72	Ctrpty 2 Indirect Entity ID Source	ID Source of the guarantor entity of Party 2	Conditionally Required	Standard Data Value	Required if Ctrpty 2 Indirect Entity ID is not	N/A	901(d)(1) - secondary trade info. - the counterparty ID of each	(d) Secondary trade information. In addition to the information	Y	<cp2IndirectEntityIdType>	N	NA	NA	NA	Conditionally Required (If ID is	NA	N
73	Ctrpty 2 Indirect Entity US Person	An indication of whether the party is US Person	Conditionally Required	Standard Data Value	Required if Ctrpty 2 Indirect Entity ID is not	N/A	908(b) - cross-border matters - limitation on obligations	(b) Limitation on obligations. Notwithstanding any other	Y	<cp1IndirectEntityUsPerson>	Not displayed, logic used:	NA	NA	NA	Optional	NA	N

SEC Field List

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
1	Field Name	Description	Submission Requirement	Data Format	Conditional Validation	Additional Validation	Rule Title	Rule Language	Submitted Field	XML Tag	Publicly Disseminated	Cleared Novation Message	Dispute Message	Rejection Message	UIC Message	Verification Message	Product Key
74	Ctrpty 2 Name	Name of Party 2 (Seller)	Conditionally Required	Standard Data Value	Derived if ID Source is LEI	N/A	901(d)(1) - secondary trade info. - the counterparty ID of each	(d) Secondary trade information. In addition to the information	Y (derived if LEI)	<cp2Name>	N	NA	NA	NA	NA	NA	N
75	Ctrpty 2 Regulatory Designation	An indication of whether the party is a security	Conditionally Required	Standard Data Value	Required if Cleared = N and Ctpy 2 is	N/A	901(c)(5) - primary trade info. - registered security-based swap dealer	(c) Primary trade information. The reporting side shall	Y	<cp2RegulatoryType>	Y if SBS, otherwise N	NA	NA	NA	NA	NA	N
76	Ctrpty 2 SEC Participant Ind	An indication of whether the party qualifies as an	Required	Boolean	N/A	N/A	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt,	Y	<cp2SecParticipant>	N	NA	NA	NA	NA	NA	N
77	Ctrpty 2 Third Party Reporter ID	If a Third Party reports on behalf of Counterparty 2,	Optional	Standard Data Value	N/A	N/A	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt,	Y	<cp2ThirdPartyReporterId>	N	Optional	Optional	Optional	Optional	Optional	N
78	Ctrpty 2 Third Party Reporter ID Source	If a Third Party reports on behalf of Counterparty 2,	Optional	Standard Data Value	N/A	N/A	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt,	Y	<cp2ThirdPartyReporterIdType>	N	Conditionally Required (If ID is	Conditionally Required (If ID is	Conditionally Required (If ID is	Conditionally Required (If ID is	Conditionally Required (If ID is	N
79	Ctrpty 2 Third Party Reporter Name	If a Third Party reports on behalf of Counterparty 2,	Optional	Standard Data Value	Derived if ID Source is LEI	N/A	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt,	Y (or can be derived)	<cp2ThirdPartyReporterName>	N	Optional	Optional	Optional	Optional	Optional	N
80	Ctrpty 2 Trader ID	ID of the Trader of Party 2 For non reporting side, if	Conditionally Required	Standard Data Value	Required if Cleared = N and Ctpy 2 is	N/A	901(d)(2) - secondary trade info. - trader ID of the direct counterparty on the	(d) Secondary trade information. In addition to the information	Y	<cp2TraderId>	N	NA	NA	NA	Optional	NA	N
81	Ctrpty 2 Ultimate Parent ID	Party 2's parent LEI/ID.	Derived	Standard Data Value		N/A	906(a) - other duties of participants - identifying missing UIC information	(a) Identifying missing UIC information. A registered security-	N	<cp2UltimateParentId>	N	NA	NA	NA	NA	NA	N
82	Ctrpty 2 Ultimate Parent ID Source	Party 2's parent ID Source.	Derived	Standard Data Value	LEI or Internal	N/A	906(a) - other duties of participants - identifying missing UIC information	(a) Identifying missing UIC information. A registered security-	N	<cp2UltimateParentIdType>	N	NA	NA	NA	NA	NA	N
83	Ctrpty 2 US Person Ind	An indication of whether the party is US Person	Required	Boolean	N/A	N/A	908(b) - cross-border matters - limitation on obligations	(b) Limitation on obligations. Notwithstanding any other	Y	<cp2USPerson>	Not displayed, logic used:	NA	NA	NA	Optional	NA	N
84	Customized SB Swap Flag	Indicates that the Security-based swap is	Required	Boolean	N/A	N/A	901(c)(1)(v) - primary trade info. - customized SBS data elements to	(c) Primary trade information. The reporting side shall	Y	<customizedSBSwap>	Y	NA	NA	NA	NA	NA	N
85	Day Count Fraction	Determination of the number of days in the	Optional	Standard Data Value	N/A	N/A	901(d)(3) - secondary trade info. - the terms of any fixed or floating rate or	(d) Secondary trade information. In addition to the information	Y	<dayCountFraction>	N	NA	NA	NA	NA	NA	N
86	Dispute Status	A flag to indicate if the trade has been disputed or	Conditionally Required	Boolean	Required on Dispute messages only	Y or N	13n-5(b)(6) and 905(a) and (b)	§240.13n-5 Data collection and maintenance;	Y	<disputeStatus>	N	N/A	Required	N/A	N/A	NA	N
87	Disputing Party ID	The LEI/ID of the party that disputed the trade	Conditionally Required	Standard Data Value	Required on Dispute messages only	Must be a party to the trade (Counterparty 1 or 2)	13n-5(b)(6) and 905(a) and (b)	§240.13n-5 Data collection and maintenance;	Y	<disputingPartyId>	N	N/A	Required	N/A	N/A	NA	N
88	Termination Date	The date the early termination of a transaction	Optional	Date (yyyy-MM-dd)	N/A	Cannot be after scheduled termination date	901(e) - reporting of life cycle events	(e) Reporting of life cycle events.	Y	<TerminationDate>	N	Required	N/A	N/A	Required	NA	N
89	Effective Date	The date that the reportable transaction	Required	Date (yyyy-MM-dd)	N/A	N/A	901(c)(1)(ii) - primary trade info. - effective date	(c) Primary trade information. The reporting side shall	Y	<effectiveDate>	Y	N/A	N/A	N/A	N/A	NA	N
90	Error Correction Flag	Flag for trades that are ticking for an error	Required	Boolean	N/A	N/A	907(a)(4) - general policies and procedures - (i) identifying characteristics	(a) General policies and procedures. With respect to the receipt,	Y	<errorCorrection>	Y	N/A	N/A	N/A	N/A	NA	N
91	Execution Timestamp	The date and time of the execution timestamp of the	Required	Timestamp (yyyy-MM-dd)	N/A	Must be equal to or before Trade Date and Effective Date	901(c)(2) - primary trade info. - date, time, to second, of execution in	(c) Primary trade information. The reporting side shall	Y	<executionTimestamp>	Y	N/A	N/A	N/A	N/A	NA	N
92	Forced Trading Session Flag	Flag for "forced trading session" conducted by a	Required	Standard Data Value	N/A	N/A	901(c)(1)(v) - primary trade info. - customized SBS data elements to	(c) Primary trade information. The reporting side shall	Y	<forcedTradingSession>	Y	N/A	N/A	N/A	N/A	NA	N
93	Historical Swap	An indication of whether or not a swap was	Required	Boolean	N/A	N/A	901(i) - reporting of pre-enactment and transitional security-based swaps	(i) Reporting of pre-enactment and transitional security-	Y	<historicSwap>	Not displayed, logic used:	N/A	N/A	N/A	N/A	NA	N
94	Historical Swap LCE Tick Flag	Flag for Historical Security-Based Swap Life Cycle	Required	Boolean	N/A	N/A	907(a)(4) - general policies and procedures - (i) identifying characteristics	(a) General policies and procedures. With respect to the receipt,	Y	<historicSwapLifeCycleEventTick>	Not displayed, logic used:	N/A	N/A	N/A	N/A	NA	N
95	Historical Swap Tick Exempt Flag	Flag for Historical Security-Based Swap public	Required	Standard Data Value	N/A	N/A	907(a)(4) - general policies and procedures - (i) identifying characteristics	(a) General policies and procedures. With respect to the receipt,	Y	<historicSwapTickExempt>	Not displayed, logic used:	N/A	N/A	N/A	N/A	NA	N
96	Initial Factor	The part of the mortgage that is outstanding on	Optional	Number	N/A	N/A	901(d)(5) - secondary trade info. - any additional data elements in the SBS	(d) Secondary trade information. In addition to the information	Y	<initialFactor>	N	N/A	N/A	N/A	N/A	NA	N
97	Intent to Clear	An indication of whether or not a reportable swap	Conditionally Required	Boolean	Required if Cleared = N	N/A	901(c)(6) - primary trade info. - direct counterparties intending to submit to	(c) Primary trade information. The reporting side shall	Y	<intentToClear>	Y	N/A	N/A	N/A	N/A	NA	N

SEC Field List

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
1	Field Name	Description	Submission Requirement	Data Format	Conditional Validation	Additional Validation	Rule Title	Rule Language	Submitted Field	XML Tag	Publicly Disseminated	Cleared Novation Message	Dispute Message	Rejection Message	UIC Message	Verification Message	Product Key
98	InterAffiliate Flag	Indicate whether the transaction is between two	Required	Boolean	N/A	N/A	907(a)(4) - general policies and procedures - (i) identifying characteristics	(a) General policies and procedures. With respect to the receipt,	Y	<interAffiliate>	Y	N/A	N/A	N/A	N/A	NA	N
99	Late Transaction Report Flag	Flag for trades which have been reported late to	Derived	Boolean	N/A	N/A	907(a)(4) - general policies and procedures - (i) identifying characteristics	(a) General policies and procedures. With respect to the receipt,	N	<lateTransactionReport>	Y	N/A	N/A	N/A	N/A	NA	N
100	Life Cycle Event Status	The Lifecycle Event Status indicates that a	Optional	Standard Data Value	N/A	N/A	901(e) - reporting of life cycle events	(e) Reporting of life cycle events.	Y	<lifeCycleEventStatus>	Y	Required	N/A	Required	N/A	NA	N
101	Life Cycle Event Timestamp	This is the timestamp that the user is	Conditionally Required	Timestamp (yyyy-MM-dd)	Required if Lifecycle Event Status is not	N/A	901(e) - reporting of life cycle events	(e) Reporting of life cycle events.	Y	<lifeCycleEventTimestamp>	Y	Required	N/A	Required	N/A	NA	N
102	Mandatory Clearable	Indicates whether the reported security based	Required	Boolean	N/A	N/A	901(d)(7) - secondary trade info. - direct counterparties invoking the	(d) Secondary trade information. In addition to the information	Y	<mandatoryClearable>	N	N/A	N/A	N/A	N/A	NA	N
103	Master Agreement Date	The agreement executed between the	Conditionally Required	Standard Data Value	Required if Master Agreement	N/A	901(d)(4) - secondary trade info. - date of any master agreement	(d) Secondary trade information. In addition to the information	Y	<masterAgreementDate>	N	N/A	N/A	N/A	N/A	NA	N
104	Master Agreement Type	The Master Trade Agreement type between the trade	Conditionally Required	Standard Data Value	Required if Cleared = N	N/A	901(d)(4) - secondary trade info. - the title of any master agreement	(d) Secondary trade information. In addition to the information	Y	<masterAgreementType>	N	N/A	N/A	N/A	N/A	NA	N
105	Maturity Date	The date upon which all principal and interest must	Optional	Date (yyyy-MM-dd)	N/A	N/A	901(d)(5) - secondary trade info. - any additional data elements in the SBS	(d) Secondary trade information. In addition to the information	Y	<maturityDate>	Y	N/A	N/A	N/A	N/A	NA	N
106	Message Type	This field will describe the type of TR message	Required	Standard Data Value	N/A	N/A	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt,	Y	<messageType>	N	Required	Required	Required	Required	Required	N
107	Non-Reporting Side Missing UIC	An indication that the non-reporting side is missing	Derived	Standard Data Value	N/A	N/A	906(a) - other duties of participants - identifying missing UIC information	(a) Identifying missing UIC information. A registered security-	N	<nonReportingSideMissingUIC>	N	N/A	N/A	N/A	N/A	NA	N
108	Notional Amount	The nominal or face amount of the contract.	Required	Number	N/A	Cannot be negative	901(c)(4) - primary trade info. - notional amount(s)	(c) Primary trade information. The reporting side shall	Y	<notionalAmount>	Y	N/A	N/A	N/A	N/A	NA	N
109	Notional Currency	The major currency of the notional amount.	Required or Derived (Product Field)	Standard Data Value	N/A	N/A	901(c)(4) - primary trade info. - notional currency(ies)	(c) Primary trade information. The reporting side shall	Y (or can be derived)	<notionalAmountCurrency>	Y	N/A	N/A	N/A	N/A	NA	N
110	Off Market Flag	This is a flag indicating that the transaction is	Required	Boolean	N/A	N/A	907(a)(4) - general policies and procedures - (i) identifying characteristics	(a) General policies and procedures. With respect to the receipt,	Y	<offMarket>	Y	N/A	N/A	N/A	N/A	NA	N
111	Option Expiration	An indication of the date after which the option	Conditionally Required	Date (yyyy-MM-dd)	Required if option type is not null.	Must be equal to or after Effective date	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt,	Y	<optionExpiration>	Y	N/A	N/A	N/A	N/A	NA	N
112	Option Premium	The amount paid to enter into an Option.	Conditionally Required	Number	Required if option type is not null.	N/A	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt,	Y	<optionPremium>	Y	N/A	N/A	N/A	N/A	NA	N
113	Option Premium Currency	The major currency associated with	Conditionally Required	Standard Data Value	Required if option type is not null.	N/A	901(d)(5) - secondary trade info. - any additional data elements in the SBS	(d) Secondary trade information. In addition to the information	Y	<optionPremiumCurrency>	Y	N/A	N/A	N/A	N/A	NA	N
114	Option Strike Price	The level or price at which an option may be	Conditionally Required	Number	Required if option type is not null.	N/A	901(d)(5) - secondary trade info. - any additional data elements in the SBS	(d) Secondary trade information. In addition to the information	Y	<optionStrikePrice>	Y	N/A	N/A	N/A	N/A	NA	N
115	Option Style	An indication of the style of the option	Conditionally Required	Standard Data Value	Required if option type is not null.	N/A	901(d)(5) - secondary trade info. - any additional data elements in the SBS	(d) Secondary trade information. In addition to the information	Y	<optionStyle>	Y	N/A	N/A	N/A	N/A	NA	N
116	Option Type	An indication of the type of option.	Conditionally Required	Standard Data Value	Required if Contract Type = Option or	N/A	901(d)(5) - secondary trade info. - any additional data elements in the SBS	(d) Secondary trade information. In addition to the information	Y	<optionType>	Y	N/A	N/A	N/A	N/A	NA	N
117	Original Submission Timestamp	The original submission time of the deal	Derived	Timestamp (yyyy-MM-dd)	N/A	N/A	901(f) - time stamping incoming information	(f) Time stamping incoming information. A	N	<originalSubmissionTimestamp>	N	N/A	N/A	N/A	N/A	NA	N
118	Original Valid Submission Timestamp	The original submission time for the first valid	Derived	Timestamp (yyyy-MM-dd)	N/A	N/A	901(f) - time stamping incoming information	(f) Time stamping incoming information. A	N	<originalValidSubmissionTimestamp>	N	N/A	N/A	N/A	N/A	NA	N
119	Other Agreement Date	The date any other agreement between the trade	Conditionally Required	Date (yyyy-MM-dd)	Required if Other Agreement	N/A	901(d)(4) - secondary trade info. - date of any other agreement	(d) Secondary trade information. In addition to the information	Y	<otherAgreementDate>	N	N/A	N/A	N/A	N/A	NA	N
120	Other Agreement Type	Any other Agreement type between the trade	Conditionally Required	Standard Data Value	Required if Cleared = N	N/A	901(d)(4) - secondary trade info. - type of any other agreement	(d) Secondary trade information. In addition to the information	Y	<otherAgreementType>	N	N/A	N/A	N/A	N/A	NA	N
121	Package ID	A unique ID that groups swaps that were part of	Optional	Standard Data Value	N/A	N/A	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt,	Y	<packageID>	N	N/A	N/A	N/A	N/A	NA	N

SEC Field List

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
1	Field Name	Description	Submission Requirement	Data Format	Conditional Validation	Additional Validation	Rule Title	Rule Language	Submitted Field	XML Tag	Publicly Disseminated	Cleared Novation Message	Dispute Message	Rejection Message	UIC Message	Verification Message	Product Key
122	Package Trade Flag	Flag for package trades	Required	Boolean	N/A	N/A	901(c)(7) - primary trade info. - any flags set forth by the SBSDR policies and	(c) Primary trade information. The reporting side shall	Y	<packageTrade>	Y	N/A	N/A	N/A	N/A	NA	N
123	Payment Frequency Period	Denotes a time period describing how often parties	Optional	Standard Data Value	N/A	N/A	901(c)(1)(iv) - primary trade info. - frequency of any standardized fixed or	(c) Primary trade information. The reporting side shall	Y	<paymentFrequencyPeriod>	Y	N/A	N/A	N/A	N/A	NA	N
124	Payment Frequency Period Multiplier	Identifies the payment frequency	Optional	Standard Data Value	N/A	N/A	901(c)(1)(iv) - primary trade info. - frequency of any standardized fixed or	(c) Primary trade information. The reporting side shall	Y	<paymentFrequencyPeriodMultiplier>	Y	N/A	N/A	N/A	N/A	NA	N
125	Platform ID	ID of execution venue.	Required	Standard Data Value	N/A	N/A	901(d)(9) - secondary trade info. - the platform ID	(d) Secondary trade information. In addition to the information	Y	<platformId>	Y - On/Off	N/A	N/A	N/A	N/A	NA	N
126	Platform Name	Corporate name of the Execution Venue.	Required or Derived if LEI	Standard Data Value	N/A	N/A	901(d)(9) - secondary trade info. - the platform ID	(d) Secondary trade information. In addition to the information	Y	<platformName>	N	N/A	N/A	N/A	N/A	NA	N
127	Platform Source	Source of ID for venue of execution.	Required	Standard Data Value	N/A	N/A	901(d)(9) - secondary trade info. - the platform ID	(d) Secondary trade information. In addition to the information	Y	<platformIdType>	N	N/A	N/A	N/A	N/A	NA	N
128	Ported	Indicates if the trade was ported from a previous	Optional	Standard Data Value	N/A	N/A	Internal Compliance Field	Used when a party ports in or out of an SBSDR	Y	<ported>	N	N/A	N/A	N/A	N/A	NA	N
129	Ported SBSDR	Indicates the ID of the previous SDR the swap	Conditionally Required	Company Table	Required if Ported is populated with	N/A	Internal Compliance Field	Used when a party ports in or out of an SBSDR	Y	<portedSbsdr>	N	N/A	N/A	N/A	N/A	NA	N
130	Post Compression Event ID	Identifier assigned to compression	Optional	Free form text	N/A	N/A	907(a)(4) - general policies and procedures - (i) identifying characteristics	(a) General policies and procedures. With respect to the receipt,	Y	<postCompressionEventId>	N	N/A	N/A	N/A	N/A	NA	N
131	Post Trade Payment Amount	This field represents an amount payable	Optional	Number	N/A	N/A	901(d)(3) - secondary trade info. - the terms of any fixed or floating rate or	(d) Secondary trade information. In addition to the information	Y	<postTradePaymentAmount>	N	N/A	N/A	N/A	N/A	NA	N
132	Post Trade Payment Currency	The major currency for the 'Post Trade	Conditionally Required	Standard Data Value	Required if Post Trade Payment	N/A	901(d)(3) - secondary trade info. - the terms of any fixed or floating rate or	(d) Secondary trade information. In addition to the information	Y	<postTradePaymentCurrency>	N	N/A	N/A	N/A	N/A	NA	N
133	Post Trade Payment Date	The date on which the post trade payment is	Conditionally Required	Date (yyyy-MM-dd)	Required if Post Trade Payment	N/A	901(d)(3) - secondary trade info. - the terms of any fixed or floating rate or	(d) Secondary trade information. In addition to the information	Y	<postTradePaymentDate>	N	N/A	N/A	N/A	N/A	NA	N
134	Post Trade Payment Payer ID	The party paying the post trade payment amount.	Conditionally Required	Standard Data Value	Required if Post Trade Payment	N/A	901(d)(3) - secondary trade info. - the terms of any fixed or floating rate or	(d) Secondary trade information. In addition to the information	Y	<postTradePaymentPayerId>	N	N/A	N/A	N/A	N/A	NA	N
135	Post Trade Payment Payer ID Source	The ID source of the party paying the post trade	Conditionally Required	Standard Data Value	Required if Post Trade Payment	N/A	901(d)(3) - secondary trade info. - the terms of any fixed or floating rate or	(d) Secondary trade information. In addition to the information	Y	<postTradePaymentPayerIdType>	N	N/A	N/A	N/A	N/A	NA	N
136	Pre Compression Event ID	Identifier assigned to compression	Optional	Free form text	N/A	N/A	907(a)(4) - general policies and procedures - (i) identifying characteristics	(a) General policies and procedures. With respect to the receipt,	Y	<preCompressionEventId>	N	N/A	N/A	N/A	N/A	NA	N
137	Previous Transaction ID	The previous Unique transaction	Conditionally Required	Free form text	Required on Post allocation swaps, optional	USI Format	901(d)(10) - secondary trade info. - transaction ID of the allocated,	(d) Secondary trade information. In addition to the information	Y	<previousTransactionId>	N	N/A	N/A	N/A	N/A	NA	N
138	Price	The price, yield, spread, coupon, etc., depending	Required	Number	N/A	Price cannot be negative or greater than 999. Traded Fixed Rate cannot be	901(c)(3) - primary trade info. - price	(c) Primary trade information. The reporting side shall	Y	<price>	Y	N/A	N/A	N/A	N/A	NA	N
139	Price Notation	Indicates the type of price	Conditionally Required	Standard Data Value	Required if Price is not null.	N/A	901(c)(3) - primary trade info. - price	(c) Primary trade information. The reporting side shall	Y	<priceNotation>	Y	N/A	N/A	N/A	N/A	NA	N
140	Prime Broker Trade Flag	Flag for prime broker trades	Required	Boolean	N/A	N/A	907(a)(1) (for 901(d)(2)) - general policies and procedures of SBSDR -	(a) General policies and procedures. With respect to the receipt,	Y	<primeBrokerTrade>	Y	N/A	N/A	N/A	N/A	NA	N
141	Public Dissemination Exclusion	Reason why the message was included or	Derived	Standard Data Value	N/A	N/A	Internal Compliance Field	(NOTE) This is not required for submission by the	N	<publicDisseminationExclusion>	N	NA	NA	NA	NA	NA	N
142	Public Dissemination ID	The ID that was disseminated for the transaction on	Derived	Free form text	N/A	N/A	Internal Compliance Field	(NOTE) This is not required for submission by the	N	<publicDisseminationID>	Y	N/A	N/A	N/A	N/A	NA	N
143	Public Dissemination Late	Indication if the public dissemination	Derived	Standard Data Value	N/A	N/A	Internal Compliance Field	(NOTE) This is not required for submission by the	N	<publicDisseminationLate>	N	NA	NA	NA	NA	NA	N
144	Public Dissemination Timestamp	The timestamp that the last message was	Derived	Timestamp (yyyy-MM-dd)	N/A	N/A	Internal Compliance Field	(NOTE) This is not required for submission by the	N	<publicDisseminationTimestamp>	Y	N/A	N/A	N/A	N/A	NA	N
145	Recovery Price	The price specifies the recovery level to	Optional	Number	N/A	N/A	901(c)(3) - primary trade info. - price	(c) Primary trade information. The reporting side shall	Y	<recoveryPrice>	N	NA	NA	NA	NA	NA	N

SEC Field List

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
1	Field Name	Description	Submission Requirement	Data Format	Conditional Validation	Additional Validation	Rule Title	Rule Language	Submitted Field	XML Tag	Publicly Disseminated	Cleared Novation Message	Dispute Message	Rejection Message	UIC Message	Verification Message	Product Key
146	Reference Entity Name	The name of the underlying security.	Required or Derived (Product Field)	Standard Data Value	N/A	N/A	901(c)(1)(i) - primary trade info. - reference issuer AND	(c) Primary trade information. The reporting side shall	Y (or can be derived)	<referenceEntityName>	Y	NA	NA	NA	NA	NA	Y
147	Reference Entity Ticker	This is a defined term in the 2003 ISDA Credit	Required or Derived (Product Field)	Standard Data Value		N/A	901(c)(1)(i) - primary trade info. - reference issuer AND product ID and other	(c) Primary trade information. The reporting side shall	Y (or can be derived)	<referenceEntityTicker>	Y	NA	NA	NA	NA	NA	Y
148	Reference Obligation	The ISIN or CUSIP of the obligations	Required	Standard Data Value	N/A	N/A	901(c)(1)(i) - primary trade info. - reference issuer	(c) Primary trade information. The reporting side shall	Y	<referenceObligation>	Y	NA	NA	NA	NA	NA	N
149	Reference Price	Price of the security of a CDS on MBS/EMBS.	Optional	Number	N/A	N/A	901(d)(5) - secondary trade info. - any additional data elements in the SBS	(d) Secondary trade information. In addition to the information	Y	<referencePrice>	Y	NA	NA	NA	NA	NA	N
150	Rejected	An indication that the trade has been rejected by	Conditionally Required	Boolean	Required on Rejection messages only	Y or N	901(e)(ii) - reporting of lifecycle events - acceptance for clearing	(e) Reporting of life cycle events; (1); (ii) Acceptance for	Y	<Rejected>	N, logic to not tick	NA	NA	Required	NA	NA	N
151	Reporting Side	Indicator if the buyer (counterparty 1)	Required	Standard Data Value	N/A	N/A	901(d)(1) - secondary trade info. - the counterparty ID of each	(d) Secondary trade information. In addition to the information	Y	<reportingSide>	N	NA	NA	NA	NA	NA	N
152	Reporting Side Missing UIC	An indication that the reporting side is missing UIC	Derived	Standard Data Value	N/A	N/A	906(a) - other duties of participants - identifying missing UIC information	(a) Identifying missing UIC information. A registered security-	N	<reportingSideMissingUIC>	N	NA	NA	NA	NA	NA	N
153	Restructuring	For single name CDS, the debt restructuring	Required or Derived (Product Field)	Standard Data Value	N/A	N/A	901(c)(1) - primary trade info. - product ID	(c) Primary trade information. The reporting side shall	Y (or can be derived)	<restructuringType>	Y	NA	NA	NA	NA	NA	Y
154	SBSDR Product ID	The SB SDRs internal product ID	Required or Derived (Product Field)	Standard Data Value	N/A	N/A	901(c)(1) - primary trade info. - product ID	(c) Primary trade information. The reporting side shall	Y (or can be derived)	<productID>	Y	NA	NA	NA	NA	NA	Y
155	SBSDR Product Name	The SB SDRs internal product name	Derived	Standard Data Value	N/A	N/A	901(c)(1) - primary trade info. - product ID	(c) Primary trade information. The reporting side shall	N	<productName>	Y	NA	NA	NA	NA	NA	Y
156	SBSDR Status	An indication of the status of the trade reported	Derived	Standard Data Value	N/A	N/A	Internal Compliance Field	(NOTE) This is not required for submission by the	N	<SBSDRStatus>	N	NA	NA	NA	NA	NA	N
157	Scheduled Termination Date	The maturity, termination, or end date of the	Required or Derived (Product Field)	Date (yyyy-MM-dd)	N/A	Must be equal to or after Effective date	901(c)(1)(iii) - primary trade info. - scheduled termination date	(c) Primary trade information. The reporting side shall	Y (or can be derived)	<scheduledTermination>	Y	NA	NA	NA	NA	NA	Y
158	Seniority	Indicates the level of debt referenced in the	Required or Derived (Product Field)	Standard Data Value		N/A	901(c)(1) - primary trade info. - product ID	(c) Primary trade information. The reporting side shall	Y (or can be derived)	<seniority>	Y	NA	NA	NA	NA	NA	Y
159	Settlement Currency	The major currency of settlement.	Required	Standard Data Value	N/A	N/A	901(d)(8) - secondary trade info. - settlement terms	(d) Secondary trade information. In addition to the information	Y	<settlementCurrency>	Y	NA	NA	NA	NA	NA	N
160	Settlement Method	If the trade settles physical or cash	Required	Standard Data Value	N/A	N/A	901(d)(8) - secondary trade info. - settlement terms, cash-settled or	(d) Secondary trade information. In addition to the information	Y	<settlementMethod>	Y	NA	NA	NA	NA	NA	N
161	Submission Timestamp	Audit level timestamp indicating the	Derived	Timestamp (yyyy-MM-dd)	N/A	N/A	901(f) - time stamping incoming information	(f) Time stamping incoming information. A	N	<submissionTimestamp>	N	NA	NA	NA	NA	NA	N
162	Submitter ID	ID of the submitter	Required	Standard Data Value	N/A	N/A	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt.	Y	<submitterID>	N	Required	Required	Required	Required	Required	N
163	Submitter ID Source	ID Source of the submitter	Required	Standard Data Value	N/A	N/A	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt.	Y	<submitter type>	N	Required	Required	Required	Required	Required	N
164	Public Dissimination Exempt 902C	Flag to exempt trade from public dissemination per	Required	Boolean	N/A	N/A	902(a) - general provision of the public dissemination requirements	(a) General. Except as provided in paragraph (c) of this section, a	Y	<publicDissiminationExempt902c>	N, logic to not tick	NA	NA	NA	NA	NA	N
165	Trade Date	The date on which the trade was executed.	Required	Date (yyyy-MM-dd)	N/A	Cannot be before Submission Time	901(c)(2) - primary trade info. - date, time, to second, of execution in	(c) Primary trade information. The reporting side shall	Y	<tradeDate>	Y	NA	NA	NA	NA	NA	N
166	Transaction ID	Unique transaction Identifier	Required	Free form text	N/A	USI Format	901(g) - assigning transaction ID	(g) Assigning transaction ID. A registered security-	Y	<transactionID>	N	Required	Required	Required	Required	Required	N
167	Underlying Fixed Rate Payer (Buyer)	If the Option Type is "Payer", the Swaption Buyer.	Conditionally Required	Standard Data Value	Required if option type is not null.	N/A	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt.	Y	<underlyingFixedRatePayer>	N	NA	NA	NA	NA	NA	N
168	Underlying Float Rate Payer (Seller)	If the Option Type is "Payer", the Swaption Seller.	Conditionally Required	Standard Data Value	Required if option type is not null.	N/A	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt.	Y	<underlyingFloatRatePayer>	N	NA	NA	NA	NA	NA	N
169	Underlying Maturity Date	In the case of swaptions, the maturity date of	Conditionally Required	Date (yyyy-MM-dd)	Required if Contract Type = Swaption	N/A	901(d)(5) - secondary trade info. - any additional data elements in the SBS	(d) Secondary trade information. In addition to the information	Y	<underlyingMaturityDate>	Y	NA	NA	NA	NA	NA	N

SEC Field List

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
1	Field Name	Description	Submission Requirement	Data Format	Conditional Validation	Additional Validation	Rule Title	Rule Language	Submitted Field	XML Tag	Publically Disseminated	Cleared Novation Message	Dispute Message	Rejection Message	UIC Message	Verification Message	Product Key
170	Upfront Payment Amount	A fixed payment amount paid by the initial amount	Optional	Number	N/A	N/A	901(c)(3) - primary trade info. - terms of up-front payments	(c) Primary trade information. The reporting side shall	Y	<upfrontPaymentAmount>	Y	NA	NA	NA	NA	NA	N
171	Upfront Payment Currency	The major currency for the 'Upfront Payment	Conditionally Required	Standard Data Value	Required if Upfront Payment	N/A	901(c)(3) - primary trade info. - terms of up-front payments	(c) Primary trade information. The reporting side shall	Y	<upfrontPaymentCurrency>	Y	NA	NA	NA	NA	NA	N
172	Upfront Payment Date	Indicates the fee pay date of the index negotiated	Conditionally Required	Timestamp (yyyy-MM-dd	Required if Upfront Payment	N/A	901(c)(3) - primary trade info. - terms of up-front payments	(c) Primary trade information. The reporting side shall	Y	<upfrontPaymentDate>	N	NA	NA	NA	NA	NA	N
173	Upfront Payment Payer ID	Indicates the fee payer of the index negotiated fee	Conditionally Required	Standard Data Value	Required if Upfront Payment	N/A	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt,	Y	<upfrontPaymentPayerId>	N	NA	NA	NA	NA	NA	N
174	Upfront Payment Payer ID Source	Indicates the ID Source of the fee payer of the index	Conditionally Required	Standard Data Value	Required if Upfront Payment	N/A	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt,	Y	<upfrontPaymentPayerIdType>	N	NA	NA	NA	NA	NA	N
175	Verification Status	A flag to indicate if the trade has been verified by	Optional	Boolean	Applicable to verification messages	Y or N	907(a)(1) - policies and procedures of registered SBSDR - specific data	(a) General policies and procedures. With respect to the receipt,	Y	<verificationStatus>	N	NA	NA	NA	NA	Required	N

ESMA Field Name	ESMA Definition	SEC Field
Maturity date of the underlying	In case of swaptions, maturity date of the underlying swap	Underlying Maturity Date
Seniority	Information on the seniority in case of contract on index or on a single name entity	Seniority
Reference entity	Identification of the underlying reference entity	Reference Entity Name
Frequency of payment	The frequency of payment of the interest rate or coupon	Payment Frequency
The calculation basis	The calculation basis of the interest rate	Day Count Fraction
Series	The series number of the composition of the index if applicable	Index products only not applicable for SEC
Version	A new version of a series is issued if one of the constituents defaults and the index has to be re-weighted to account for the new number of total constituents within the index	Index products only not applicable for SEC
Index factor	The factor to apply to the Notional (Field 20) to adjust it to all the previous credit events in that Index series. The figure varies between 0 and 100.	Index products only not applicable for SEC
Tranche	Indication whether a derivative contract is tranching.	Index products only not applicable for SEC
Attachment point	The point at which losses in the pool will attach to a particular tranche	Index products only not applicable for SEC
Detachment point	The point beyond which losses do not affect the particular tranche	Index products only not applicable for SEC