

Exhibit K

MEMX LLC

Date of Filing/Accurate as of: February 25, 2020

EXHIBIT K

This Exhibit is applicable only to exchanges that have one or more owners, shareholders, or partners that are not also members of the exchange. If the exchange is a corporation, please provide a list of each shareholder that directly owns 5% or more of a class of a voting security of the applicant. If the exchange is a partnership, please provide a list of all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of the partnership's capital. For each of the persons listed in the Exhibit K, please provide the following:

- 1. Full legal name;**
- 2. Title or Status;**
- 3. Date title or status was acquired;**
- 4. Approximate ownership interest; and**
- 5. Whether the person has control, a term that is defined in the instructions to this Form.**

MEMX Holdings LLC directly owns 99.5% of MEMX LLC, and indirectly owns the remaining 0.5% of MEMX LLC via its ownership of MEMX Subco LLC. MEMX Holdings LLC exercises "control" over the Exchange, as that term is defined in the Form 1 instructions. MEMX LLC became a subsidiary of MEMX Holdings LLC on October 3, 2018.

The Exchange represents that none of the shareholders of MEMX Holdings LLC hold interests that exceed the ownership and voting limitations set forth in Section 3.5 of the MEMX Holdings LLC Agreement.