

**24X NATIONAL EXCHANGE LLC
RETAIL MARKET MAKER REGISTRATION APPLICATION**

Any Member seeking to register as a Retail Market Maker on 24X National Exchange LLC (“Exchange”) must complete this Retail Market Maker Registration Application. This Retail Market Maker Registration Application and required supplementary materials must be submitted to the Exchange via email to: [INSERT].

All application materials sent to the Exchange will be reviewed for completeness. All applications are deemed confidential and handled in a secure environment. However, applications may be shared with other self-regulatory organizations as necessary to evaluate and process the application.

The Exchange may request that an Applicant submit documentation in addition to the materials above during the application review process. If you have questions related to the application, you may direct them to the Exchange at [INSERT EMAIL] or [INSERT PHONE].

GENERAL INFORMATION		
Name of Applicant :		
Address of Principal Office :		
City :	State :	Zip :
Date :	CRD Number :	
OTHER BUSINESS ACTIVITIES		
<input type="checkbox"/> Investment Banking	<input type="checkbox"/> Public Securities Business	<input type="checkbox"/> Proprietary Trading
<input type="checkbox"/> Market Maker	<input type="checkbox"/> Dealer/Specialist	<input type="checkbox"/> Other : _____
If the Applicant is a Market Maker or Dealer/Specialist, please provide a list of the registered national securities exchange(s) or association(s) on which the Applicant has been approved as such:		
NET CAPITAL		
Excess Net Capital Amount : _____ As of Date : _____		
<input type="checkbox"/> (REQUIRED) Most recent FOCUS Report enclosed		

RETAIL MARKET MAKER AUTHORIZED TRADERS

To be eligible for registration as a Retail Market Maker Authorized Trader (“RMMAT”), a person must meet the registration requirements set forth in Rule 11.19(b). Each Retail Market Maker must maintain a current list of RMMATs who are permitted to enter orders on behalf of the Retail Market Maker pursuant to Rule 11.21(a)(5).

Name: _____ CRD Number: _____

List Each Relevant Proficiency Examination: _____

(See Rule 11.19 and Interpretation and Policies .01 and .02 to Rule 2.5 for further information on proficiency examinations applicable to RMMATs.)

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Name: _____ CRD Number: _____

List Each Relevant Proficiency Examination: _____

(See Rule 11.19 and Interpretation and Policies .01 and .02 to Rule 2.5 for further information on proficiency examinations applicable to RMMATs.)

The undersigned, as a duly appointed Officer, Partner, Managing Member, or Sole Proprietor of the Applicant, does hereby acknowledge that the Applicant agrees to update the application as necessary, and to abide by all rules of the Exchange, and interpretations thereof, as they currently exist and as they may be added, supplemented and/or amended from time to time..

Applicant Name

CRD Number (if applicable)

Signature of Authorized Officer, Partner, Managing Member or Sole Proprietor of Sponsored Participant

Date

Printed Name

Title