

MEMORANDUM

TO: File Nos. 4-757 (Rel. 34-87906)

FROM: Eugene Hsia
Office of Market Supervision, Division of Trading and Markets

DATE: May 1, 2020

SUBJECT: Meeting with Representatives from FINRA

On May 1, 2020, Brett Redfearn, Christian Sabella, David Shillman, John Roeser, Michael Coe, and Andrea Orr from the Division of Trading and Markets had a conference call with the following individuals from the Financial Industry Regulatory Authority, Inc.: Robert Colby, Stephanie Dumont, and Tom Gira.

Among other things, the meeting participants discussed the Commission's Proposed Order Directing the Exchanges and the Financial Industry Regulatory Authority to Submit a New National Market System Plan Regarding Consolidated Equity Market Data.