

MEMORANDUM

To: File No. 4-757

From: Eric Juzenas
Counsel to Commissioner Allison Herren Lee
U.S. Securities and Exchange Commission

Date: May 4, 2020

Re: Meeting with SIFMA

On May 4, 2020, Eric Juzenas had a phone call with:

SIFMA Members:

- Chris Nielsen, Charles Schwab
- Michael Masone, Citi
- Ian Weston, JP Morgan
- Gregg Berman, Citadel
- Sapna Patel, Morgan Stanley
- T.R. Lazo, BIDS Trading
- Diwa Cody, Goldman Sachs
- Vlad Khandros, UBS
- George Wolf, UBS

SIFMA Staff:

- Robert Toomey
- Wes Althoff, SIFMA
- Ellen Greene

Participants discussed SIFMA's comments regarding the Notice of Proposed Order Directing the Exchanges and the Financial Industry Regulatory Authority to Submit a New National Market System Plan Regarding Consolidated Equity Market Data, File No. 4-757.