

## MEMORANDUM

**To:** File Nos. S7-03-20; 4-757

**From:** Josh Nimmo  
Division of Trading and Markets

**Re:** Call with the Equity Markets Advisory Committee of the Investment Company Institute (“ICI”)

**Date:** March 19, 2020

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On March 11, 2020, Michael Bradley, Michael Coe, Richard Holley, David Hsu, Kelly Riley, David Shillman, Ted Uliassi, and Ted Venuti, all from the Division of Trading and Markets, participated in a call with the following representatives of ICI and its Equity Markets Advisory Committee:

- Monique Curtis, ICI
- Dorothy Donohue, ICI
- Ahmed Elghazaly, ICI
- Jane Heinrich, ICI
- Nhan Nguyen, ICI
- Charles Sommers, Sidley Austin
- Jamie Brigagliano, Sidley Austin
- Joel Feinberg, Acadian Asset Management
- Hubert DeJesus, BlackRock
- Michael Triessl, Capital Research and Management
- Peter Stutsman, Capital Research and Management
- Krista Ryan, Fidelity Investments
- Steve Sachs, Goldman Sachs Asset Management
- David Bentley, Invesco
- Eric Pollackov, Invesco
- Nola Kopfer, MFS Investment Management
- Eden Simmer, PIMCO
- Keith Botti, ProShares Advisors
- Rich Ledee, ProShares Advisors
- Ryan Cole, RBC Global Asset Management (US)
- Doug Schrank, Southeastern Asset Management
- Craig Gaskin, TD Asset Management

- Jonathan Siegel, T. Rowe Price
- Mehmet Kinak, T. Rowe Price
- Lisa Mahon Lynch, Wellington
- David Graichen, WisdomTree Asset Management

Among the topics discussed were the Commission's proposed amendments to rules under the Securities Exchange Act of 1934 relating to equity market data infrastructure (File No. S7-03-20) and the Commission's proposed order directing the exchanges and FINRA to submit a new NMS plan regarding consolidated equity market data (File No. 4-757).