

MEMORANDUM

To: Public Comment Files S7-07-18, S7-08-18, and S7-09-18

From: Trace W. Rakestraw
Counsel to Commissioner Kara M. Stein
U.S. Securities and Exchange Commission

Date: 5/9/18

Re: Meeting with Representatives from AARP

On May 9, 2018, Commissioner Stein, Sirimal Mukerjee (Counsel to Commissioner Stein), Robert Peak (Senior Advisor to Commissioner Stein) and Trace Rakestraw (Counsel to Commissioner Stein) met with the following representatives from AARP:

- Cristina Martin Firvida, Director of Financial Security & Consumer Affairs, AARP
- David Certner, Counsel & Legislative Policy Director, AARP
- Jasmine Vasquez, Senior Legislative Representative, AARP

Topics discussed included Proposed “Regulation Best Interest”, Proposed Form CRS and the Proposed Commission Interpretation Regarding Standard of Conduct for Investment Advisers.