

TO: File Nos. S7-07-18
FROM: Gena Lai, Division of Investment Management
RE: Meeting with Representatives of New York Life
DATE: July 12, 2018

On Thursday, July 12, 2018, representatives of the Securities and Exchange Commission (“SEC”) participated in a meeting with representatives of New York Life. The SEC representatives present in person were Dalia Blass, Paul Cellupica, Sarah ten Siethoff, Sara Cortes, Jennifer Porter, Parisa Haghshenas, Adam Bolter, Benjamin Kalish, Elizabeth Miller, Jennifer Songer, Gena Lai, Emily Russell, Alicia Goldin, Geeta Dhingra, Brad Bartels, Jennifer Juergens, and Iulian Obreja. The New York Life representatives present were Tom English, Mike McDonnell, Ali O’Donnell, Julie Herwig, and Ben Brown.

The participants discussed, among other things, the SEC’s proposed Regulation Best Interest.