

## MEMORANDUM

**TO:** File Nos. S7-07-18; S7-08-18; S7-09-18

**FROM:** Adam B. Glazer  
Counsel to Commissioner Hester M. Peirce

**RE:** Meeting with Representatives of the Managed Funds Association (“MFA”)

**DATE:** October 24, 2018

---

On October 24, 2018, Commissioner Hester M. Peirce and her legal advisor, Adam Glazer, met with the following representatives of MFA:

- David Landers, Executive Vice President & Managing Director, Government Relations;
- Benjamin Allensworth, Associate General Counsel;
- Carlotta D. King, Associate General Counsel;
- Matthew Newell, Associate General Counsel;
- Helene Glotzer, Chief Compliance Officer, Corporate Counsel, Bridgewater Associates, LP; and
- Natalie Birrell, Partner & CEO, Anchorage Capital Group, L.L.C.

The participants discussed, among other things, the Commission’s proposed new rule, Regulation Best Interest, in Securities Exchange Act Release No. 83062 (Apr. 18, 2018); proposed new form, Form CRS, in Securities Exchange Act Release No. 83063 (Apr. 18, 2018); and the proposed Commission interpretation regarding standard of conduct for investment advisers in Investment Advisers Act Release No. 4889 (Apr. 18, 2018).