

MEMORANDUM

To: File Nos. S7-07-18, S7-08-18, S7-09-18

From: Eric Diamond, Senior Advisor to Chairman Jay Clayton

Re: Standards of Conduct for Investment Professionals

Date: October 24, 2018

On October 24, 2018, Chairman Jay Clayton and Eric Diamond (Senior Advisor to Chairman Clayton) met with the following representatives of Primerica:

- Peter W. Schneider, President
- William A. Kelly, Chief Executive Officer, PFS Investments
- Karen L. Sukin, Executive Vice President & Deputy General Counsel
- Greg W. Thomas, Senior Vice President, Federal Government Relations

The meeting participants discussed, among other things, the SEC's proposed rules and interpretation relating to standards of conduct for investment professionals.