

MEMORANDUM

TO: File Nos. S7-07-18; S7-08-18; S7-09-18

FROM: Adam B. Glazer
Counsel to Commissioner Hester M. Peirce

RE: Meeting with Representatives of the Public Investors Arbitration Bar Association
("PIABA")

DATE: October 26, 2018

On October 26, 2018, Adam Glazer, legal advisor to Commissioner Hester M. Peirce, met with the following representatives of PIABA:

- Christine Lazaro, President;
- Robin S. Ringo, Executive Director; and
- Michael S. Edmiston, Law Offices of Jonathan W. Evans & Associates.

The participants discussed, among other things, the Commission's proposed new rule, Regulation Best Interest, in Securities Exchange Act Release No. 83062 (Apr. 18, 2018); proposed new form, Form CRS, in Securities Exchange Act Release No. 83063 (Apr. 18, 2018); and the proposed Commission interpretation regarding standard of conduct for investment advisers in Investment Advisers Act Release No. 4889 (Apr. 18, 2018).