

## MEMORANDUM

**To:** Public Comment File on proposed Regulation Best Interest (File No. S7-07-18)

**From:** Elizabeth Blase, Counsel to Commissioner Elad L. Roisman

**Re:** Meeting with the North American Securities Administrators Association (“NASAA”)

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On October 30, 2018, Commissioner Elad L. Roisman and his counsels (Elizabeth Blase and Nicholas Losurdo) met with the following representatives of NASAA:

- Mike Pieciak, NASAA President and commissioner of the Vermont Department of Financial Regulation;
- Frank Borger-Gilligan, Assistant Commissioner Tennessee Department of Commerce and Insurance;
- Andrea Seidt, Ohio Securities Commissioner;
- Melanie Lubin, Maryland Securities Commissioner;
- Valerie Mirko, NASAA General Counsel;
- Mike Canning, NASAA Director Of Policy; and
- Joey Brady, NASAA Executive Director.

The participants discussed a number of issues related to the Commission’s proposed Regulation Best Interest and NASAA’s comment letters on the same.