

## MEMORANDUM

**To:** File Nos. S7-07-18, S7-08-18, S7-09-18

**From:** Edwin Hu, Office of Commissioner Robert J. Jackson

**Date:** March 15, 2019

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On February 21, 2019, Commissioner Robert Jackson and his counsel, Edwin Hu, met with Professors Chester Spatt and Mark Flannery.

The meeting participants discussed, among other things, the SEC's proposed rules and interpretation relating to standards of conduct for investment professionals