

## MEMORANDUM

**TO:** File Nos. S7-07-18; S7-08-18; S7-09-18

**FROM:** Jonathan Carr  
Counsel to Commissioner Hester M. Peirce

**RE:** Meeting with Representatives of the SEC's Fort Worth Regional Office Risk Mitigation Forum

**DATE:** March 11, 2019

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On March 11, 2019, Commissioner Hester M. Peirce and her legal advisor, Jonathan Carr, met with members of the SEC's Fort Worth Regional Office Risk Mitigation Forum.

The participants discussed, among other things, the Commission's proposed new rule, Regulation Best Interest, in Securities Exchange Act Release No. 83062 (Apr. 18, 2018); proposed new form, Form CRS, in Securities Exchange Act Release No. 83063 (Apr. 18, 2018); and the proposed Commission interpretation regarding standard of conduct for investment advisers in Investment Advisers Act Release No. 4889 (Apr. 18, 2018).