

MEMORANDUM

To: File Nos. S7-07-18 and S7-08-18

From: Frank Buda
Counsel to Commissioner Robert J. Jackson, Jr.
U.S. Securities and Exchange Commission

Date: April 5, 2019

Re: Discussion with the Investment Company Institute (ICI)

On April 4, 2019, Commissioner Jackson and his counsels, Satyam Khanna and Frank Buda, met with representatives from the ICI. Among the topics discussed were Regulation Best Interest and the Proposed Form CRS Relationship Summary.