

MEMORANDUM

TO: File No. S7-07-18

FROM: Bradford Bartels, Division of Trading and Markets

RE: Meeting with Representatives of Florida International Bankers Association

DATE: May 14, 2019

On May 13, 2019, representatives of the Securities and Exchange Commission (“SEC”) participated in a meeting with representatives of the Florida International Bankers Association (“FIBA”). The SEC representatives were Lourdes Gonzalez, John Fahey, and Bradford Bartels from the Division of Trading and Markets; and Benjamin Tecmire from the Division of Investment Management. The FIBA representatives were David Schwartz, Bryan Wells, and Kimberly Prior.

The participants discussed, among other things, the issues raised in FIBA’s comment letters with respect to Regulation Best Interest.