

## IMPROVEMENTS TO FORM 13F

On March 29, 2013, the staff of the Division of Investment Management published a [Notice to EDGAR Form 13F Filers](#) announcing non-substantive changes to [Form 13F](#). The new online form is now expected to be available on the EDGAR Filing Website no sooner than Monday, May 20, 2013. Please note that this new target roll-out date is three weeks later than the date announced in the original Notice. Filers will continue to use the current format (text based ASCII) through at least Friday, May 17. Under this schedule, filers will be required to use the new online form and to construct their Information Tables according to the [Form 13F XML Technical Specification](#) beginning Monday, May 20. The staff will publish another IM Update once the roll-out date has been confirmed.

The new online form is intended to simplify the filing process and to help filers avoid technical errors. The new XML-based Information Table will enhance the utility of the reported data to end-users such as operations and risk professionals, academics and securities regulators.

The Investment Management Division works to:

- ▲ protect investors
- ▲ promote informed investment decisions and
- ▲ facilitate appropriate innovation in investment products and services

through regulating the asset management industry.

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**If you have any questions about XML or other procedural or technical issues, please contact:**

SEC Office of Information Technology  
Filer Technical Support  
Phone: 202.551.8900

**If you have substantive questions about Form 13F, please contact:**

SEC Division of Investment Management  
Chief Counsel's Office/Public Inquiry  
Phone: 202.551.6865  
Email: [IMOCC@sec.gov](mailto:IMOCC@sec.gov)

