UNITED STATES OF AMERICA before the SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934 Release No. 81808 / October 3, 2017

INVESTMENT ADVISERS ACT OF 1940 Release No. 4787 / October 3, 2017

Admin. Proc. File No. 3-17856

In the Matter of

JOHN AUSTIN GIBSON, JR.

NOTICE THAT INITIAL DECISION HAS BECOME FINAL

The time for filing a petition for review of the initial decision in this proceeding has expired. No such petition has been filed by John Austin Gibson, Jr., and the Commission has not chosen to review the decision on its own initiative.

Accordingly, notice is hereby given, pursuant to Rule 360(d) of the Commission's Rules of Practice,¹ that the initial decision of the administrative law judge has become the final decision of the Commission with respect to John Austin Gibson, Jr.² The order contained in that decision is hereby declared effective. The initial decision ordered, under Section 15(b) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940, John Austin Gibson, Jr., is barred from associating with a broker, dealer, or investment adviser.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Brent J. Fields Secretary

¹ 17 C.F.R. § 201.360(d).

² John Austin Gibson, Jr., Initial Decision Release No. 1147 (June 20, 2017), 116 SEC Docket 20, 2017 WL 2644800.