

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 83277 / May 17, 2018

Admin. Proc. File No. 3-17907

ANTHONY C. ZUFELT

NOTICE THAT INITIAL DECISION HAS BECOME FINAL

The time for filing a petition for review of the initial decision in this proceeding has expired. No such petition has been filed by Anthony C. Zufelt and the Commission has not chosen to review the decision on its own initiative.

Accordingly, notice is hereby given, pursuant to Rule 360(d) of the Commission's Rules of Practice,¹ that the initial decision of the administrative law judge has become the final decision of the Commission with respect to Anthony C. Zufelt.² The order contained in that decision is hereby declared effective. The initial decision ordered that pursuant to Section 15(b) of the Securities Exchange Act of 1934, Anthony C. Zufelt is barred from associating with a broker or dealer or from participating in an offering of penny stock.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Brent J. Fields
Secretary

¹ 17 C.F.R. § 201.360(d).

² *Anthony C. Zufelt*, Initial Decision Release No. 1239 (Mar. 1, 2018), 118 SEC Docket 16, 2018 WL 1137655.