

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 87630 / November 26, 2019

Admin. Proc. File No. 3-17849

IN THE MATTER OF

PERAZA CAPITAL & INVESTMENT, LLC

NOTICE THAT INITIAL DECISION HAS BECOME FINAL

The time for filing a petition for review of the initial decision in this proceeding has expired. No such petition has been filed by Peraza Capital & Investment, LLC, and the Commission has not chosen to review the decision on its own initiative.

Accordingly, notice is hereby given, pursuant to Rule 360(d) of the Commission's Rules of Practice,¹ that the initial decision of the administrative law judge has become the final decision of the Commission with respect to Peraza Capital & Investment, LLC.² The order contained in that decision is hereby declared effective. The initial decision ordered that (a) under Section 21B(a)(2) of the Securities Exchange Act of 1934, Peraza Capital & Investment, LLC, shall pay a civil money penalty in the amount of \$75,000; and (b) under Sections 21B(e) and 21C(e) of the Securities Exchange Act of 1934, Peraza Capital & Investment, LLC, shall disgorge \$1,180,487.98, plus prejudgment interest.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

¹ 17 C.F.R. § 201.360(d).

² *Angel Oak Capital Partners, LLC, Peraza Capital & Inv., LLC, Sreeniwas Prabhu, and David W. Wells*, Initial Decision Release No. 1383 (Aug. 23, 2019), 2019 WL 4034001.