Exhibit 5

# Additions are <u>underlined</u>; deletions are [bracketed].

# EDGX Exchange, Inc. Fee Schedule – Effective [December 1, 2014]January 2, 2015

\* \* \* \* \*

#### **Definitions:**

• Average Daily Volume ("ADV") is defined as the average daily volume of shares that a Member executed on, or routed by, the Exchange for the month in which the fees are calculated. ADV is calculated on a monthly basis, excluding shares on any day that the Exchange's system experiences a disruption that lasts for more than 60 minutes during Regular Trading Hours ("Exchange System Disruption"), on any day with a scheduled early market close, [and] on the last Friday in June (the "Russell Reconstitution Day"), and each day from January 12, 2015 up to and including January 16, 2015.

With prior notice to the Exchange, a Member may aggregate ADV with other Members that control, are controlled by, or are under common control with such Member (as evidenced on such Member's Form BD).

• Total Consolidated Volume ("TCV") is defined as the volume reported by all exchanges and trade reporting facilities to the consolidated transaction reporting plans for Tapes A, B and C securities for the month in which the fees are calculated, excluding volume on any day that the Exchange experiences an Exchange System Disruption, on any day with a scheduled early market close, [or] the Russell Reconstitution Day, and each day from January 12, 2015 up to and including January 16, 2015.

\* \* \* \* \*

### **Membership Fees:**

Membership Fee Type	[Tier Details]	Fee
Firm Membership	[N/A]	\$[2,000] <u>2,500</u> /yea r <sup>1</sup>
[Trading Rights	N/A	\$300/month <sup>2</sup>
MPID Fee – Tier 1	0 to 5 MPIDs	\$0
MPID Fee – Tier 2	More than 5 MPIDs	\$250/month <sup>3</sup> ]

<sup>1</sup> If a Member is pending a voluntary termination of rights as a Member pursuant to Rule 2.8 prior to the date any Annual Membership Fee for a given year will be assessed (i.e., September 1, 2011, January 1, 2012, etc.) and the Member does not utilize the facilities of EDGX during such time, then the Member will not be obligated to pay the Annual Membership Fee.

[<sup>2</sup> Prior to the September 1, 2011 implementation date for these fee changes, the Exchange will waive monthly Trading Rights fees if a Member is pending a voluntary termination of rights pursuant to Rule 2.8.

<sup>3</sup> Prior to the September 1, 2011 implementation date for these fee changes, the Exchange will waive monthly MPID fees if a Member is pending a voluntary termination of rights pursuant to Rule 2.8.]

\* \* \* \* \*

# [EdgeBook Cloud<sup>®</sup> Pricing:]

### **EDGX Historical Depth Data:**

<b>Delivery (Internal Use Only)</b>	Fee
Per Month of Access Data	\$500
Per 1 TB Drive of Data	\$2,500

# ConnectEdge

# Market Data Connectivity\*:

Data Feed	Fee Per Month
Level 1 0 UQDF/UTDF/OMDF	\$650
CQS/CTS	\$1,000
Nasdaq TotalView 4.1	\$1,300
Nasdaq BX Totalview 4.1	\$650
Nasdaq PSX Totalview 4.1	\$350
NYSE OpenBook Ultra	\$1,750
ARCA Book XDP	\$1,000
ARCA Book Refresh	\$250
NYSE MKT OpenBook	\$150
BATS BZX Pitch Multicast	\$1,300
BATS BZX Pitch Spin Server	\$250
BATS BYX Pitch Multicast	\$350
BATS BYX Pitch Spin Server	\$250
BATS Options Pitch	\$1,000
OPRA	\$3,500
NYSE Alerts	\$250
NYSE MKT Alerts	\$500
NYSE Imbalances	\$100

NYSE MKT Imbalances	\$1,000
NYSE Trades	\$500
ARCA Trades	\$250
BBDS/TDDS	\$100
EDGX/EDGA (Free i[s] <u>f</u> connection is within Equinix Secaucus)	\$500 or Free

\* Fee covers both A and B feeds (over independent cross-connects) and is for connectivity only.

Firms are required to pay any exchange related data fees directly to that exchange.

\* \* \* \* \*

[Effective upon the operative date of SR-EDGX-2013-31]

# Licensing and Continuing Education

Proprietary Trader	Fee	
Series 56 Exam	\$195	
S501 Continuing Education	\$60 per session	

\*Fees for the Series 56 exam and S501 continuing education sessions are to be paid directly to FINRA

\* \* \* \* \*