

EXHIBIT 5A

THE OPTIONS CLEARING CORPORATION
SCHEDULE OF FEES – SEPTEMBER 201[5]6

CLEARING MEMBER

CLEARING

Clearing Fees**

Trades with contracts of:

1 – 500	\$.05
501 – 1000	\$.04
1001 – 2000	\$.03
Greater than 2000	\$ 55.00/trade

Exercise Fee – per line item on exercise notice	\$ 1.00
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MEMBERSHIP

New Products

New Clearing Member Qualification Fee	\$ 4,000.00
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Unless otherwise agreed to by OCC and the applicable exchange, from the first day of listing through the end of the following calendar month \$ 0.00

<u>Stock and Market Loan Program Transaction Fees</u> Per transaction assessed against each lender and borrower	\$ 1.00
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Market Maker/Specialist Scratch and Linkage Fees per side* \$ 0.02

<u>STAMPS</u> Clearing Member Authorization Stamp	\$ 23.00 per stamp
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Minimum Monthly Clearing Fee \$ 200.00

*A Market Maker/Specialist or Linkage transaction that includes more than 2,750 contracts will be charged a flat fee of \$55.00 per trade per side.

** Any trade executed on an options exchange for which OCC is unable to determine compliance with OCC's Exchange Risk Control Standards is subject to an additional fee of \$0.02 per contract beginning September 1, 2016.

ANCILLARY SERVICES

TIER I

- ENCORE Access
- MyOCC Access
- Data Service – proprietary position and trade data (includes transmission to service bureau)
- Report Bundle
- Series File
- Special Settlement File
- Open Interest File
- Prices File
- Stock Loan File
- Theoretical Profit and Loss Values
- Leased line charges are additional
\$ 1,500.00 per month

TIER II

- ENCORE Access
- MyOCC Access
- Data Service – proprietary position and trade data (includes transmission to service bureau)
- Report Bundle
- Leased line charges are additional
\$ 1,000.00 per month

TIER III

- ENCORE Access
- MyOCC Access
\$650.00 per month

Additional Clearing Member:

No Charge

TIER IV (Stock Loan Only)

- ENCORE Access
- MyOCC Access
\$ 300.00 per month

LEASED LINE SERVICES

T1 line to a Midwest Destination	\$1,000.00 per month, per line
T1 line to an East Coast Destination	\$1,500.00 per month, per line
T1 line to a West Coast Destination	\$2,000.00 per month, per line

CLEARING MEMBER/NON-CLEARING MEMBERPUBLICATIONS/BROCHURES

Disclosure Documents	\$.45
OCC/ICC By-Laws and Rules	\$ 47.00

(Updates can be obtained on a subscription basis for \$47.00 per year.)

NON-CLEARING MEMBERSERIES INFORMATION

Non-Clearing Member

Non-Distribution	\$1,750.00 per month
Distribution	\$3,000.00 per month
Real Time Data	\$250.00 per month (in addition to fees listed above)

PRICES INFORMATION

Non-Clearing Member	\$3,000.00 per month
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THEORETICAL PROFIT AND LOSS VALUES*

Non-Clearing Member	\$1,000.00 per month
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ESCROW BANKS
ESCROW PROGRAM FEES

Escrow Bank Monthly Program Fee	\$200.00
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ALL FEES ARE SUBJECT TO CHANGE

For further information, contact Member Services at 1-800-621-6072.