Exhibit 3A

Options Member Designated Give Up – Notification Form

An executed version of this Document can be delivered to the Exchange via email to MembershipServices@bats.com.

Clearing Member Name:	OCC #	Executing Broker:
Attach additional copies of this Form i	f extra lines o	are required.
Options Member Name		CRD #
Signature of Authorized Officer, Partner, Managing Member or Sole Proprietor		Date
Printed Name		Title

Exhibit 3B

Options Member Designated Give Up – Give Up Change Form

Clearing Member Rejecting the Trade	e (Name/OCC#):	
By signing this form, the signatory represents that he/she is authorized by the Clearing Member to act on its behalf to reject the trade.		
Name (print)	Title	
Signature	Date	
Phone	Email	
TRADE INFORMATION		
Executing ("give-up") OCC Clearing	Number:	
CMTA (if applicable): Expiration Date (mm/dd/yy): Strike Price: Volume: Trade Date:	Call/Put Premium:	
Executing Firm Info (Name and Firm Reason for rejecting the trade:	ID If Known):	
☐ The executing User's Guarant	above trade will be (please check one): or CC#:	
☐ Another Clearing Member ("A Accepting Clearing Memb	Accepting Clearing Member'')* per's Name and OCC#:	

^{*} The Accepting Clearing Member must complete and submit to EDGX Options Trade Desk the "Give-up Change Form for Accepting Clearing Member."

EDGX Optio	ns Trade Desk Processing (ONLY):	
Name:	Time:	
Give-up and	rade checked: □	

Exhibit 3C

Options Member Designated Give Up – Give Up Change Form for Accepting Clearing Member

☐ Please check the box if you agree to	be the Give-up on the trade below.
By signing this form, the signatory represent	-
Member to act on its behalf to accept the trade.	
-	
Name (print)	Title
Signature	Date
Phone	Email
TRADE INFORM	ATION
Executing ("give-up") OCC Clearing Number:	
CMTA (if applicable):	Option Symbol:
Expiration Date	
(mm/dd/yy):	Buy/Sell:
Strike Price:	Call/Put
Volume:	Premium: