

Additions are underlined; deletions are [bracketed].

EDGA Exchange, Inc. Fee Schedule – Effective [February 3]March 2, 2015

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Fees Codes and Associated Fees:

Fee Code	Description	Fee/(Rebate) Securities at or above \$1.00	Fee/(Rebate) Securities below \$1.00
10 – 9	(No change).		
A	Routed to NASDAQ, adds liquidity	(0.00[150] <u>040</u>)	Free
B ⁴ – RB	(No change).		
RN	<u>Routed to NASDAQ using the ROOC routing strategy, adds liquidity</u>	<u>(0.00150)</u>	<u>Free</u>
R – Z	(No change).		

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General Notes:

- Unless otherwise indicated, rebates and charges for adding, removing or routing liquidity are listed as per share rebates and charges.
- The Exchange notes that to the extent a Member does not qualify for any of the tiers listed below, the rates listed in the above section titled “Fee Codes and Associated Fees” will apply.
- To the extent a Member qualifies for higher rebates and/or lower fees than those provided by a tier for which such Member qualifies, the higher rebates and/or lower fees shall apply.
- Variable rates provided by tiers apply only to executions in securities priced at or above \$1.00.
- Fee codes applicable to orders routed to NYSE Arca will be applied to orders routed to the NYSE or NYSE MKT where, pursuant to NYSE and NYSE MKT Rule 49, the

NYSE or NYSE MKT have designated NYSE Arca as their backup facility to receive and process bids and offers and to execute orders on behalf of the NYSE or NYSE MKT.

Footnotes:

¹⁻³ (No change).

⁴ **Add Volume Tiers:**

The fee to add provided by the below add volume tiers is applicable to the following fee codes: B, V, Y, 3 and 4.

Tier	Fee per share to Add	Required Criteria
Volume Tier 1 - Step-Up Tier 2	(No change).	
<u>MidPoint</u> <u>Discretionary</u> <u>Order Add</u> <u>Volume Tier</u>	<u>\$0.0003</u>	<u>(1) Member adds an ADV of at least 0.25% of the TCV including non-displayed orders that add liquidity; and</u> <u>(2) Member adds or removes an ADV of at least 1,500,000 shares yielding fee codes DM or DT</u>

⁵⁻¹² (No change).

¹³ A Member's monthly volume attributed to fee code 5 will be [divided evenly] allocated accordingly between the added fee codes and removal fee codes when determining whether that Member satisfied a certain tier.

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