SR-ISE-2021-25 Page 43 of 44

#### **EXHIBIT 5**

New text is underlined; deleted text is in brackets.

## **NASDAQ ISE, LLC**

\* \* \* \* \*

## **Options 9 Business Conduct**

\* \* \* \* \*

#### **Section 13. Position Limits**

\* \* \* \* \*

### **Supplementary Material to Options 9, Section 13**

.01 The position limits applicable to option contracts on the securities listed in the chart below are as follows:

Security Underlying Option	<b>Position Limit</b>
SPDR Dow Jones® Industrial Average ETF Trust (DIA)	300,000 contracts
SPDR® S&P 500® ETF Trust (SPY)	3,600,000 contracts
iShares® Russell 2000® ETF (IWM)	1,000,000 contracts
INVESCO QQQ Trust <sup>SM</sup> , Series 1 (QQQ)	1,800,000 contracts
iShares MSCI Emerging Markets ETF (EEM)	1,000,000 contracts
iShares China Large-Cap ETF (FXI)	1,000,000 contracts
iShares MSCI EAFE ETF (EFA)	1,000,000 contracts
iShares MSCI Brazil Capped ETF (EWZ)	500,000 contracts
iShares 20+ Year Treasury Bond Fund ETF (TLT)	500,000 contracts
iShares MSCI Japan ETF (EWJ)	500,000 contracts
iShares iBoxx High Yield Corporate Bond Fund (HYG)	500,000 contracts
Financial Select Sector SPDR Fund (XLF)	500,000 contracts
iShares iBoxx \$ Investment Grade Corporate Bond ETF	500,000 contracts
("LQD")	500,000 contracts
VanEck Vectors Gold Miners ETF ("GDX")	

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SR-ISE-2021-25 Page 44 of 44

## **Section 15. Exercise Limits**

\* \* \* \* \*

# **Supplementary Material to Option 9, Section 15**

.01 The exercise limits applicable to option contracts on the securities listed in the chart below is as follows:

Security Underlying Option	<b>Position Limit</b>
SPDR Dow Jones® Industrial Average ETF Trust (DIA)	300,000 contracts
SPDR® S&P 500® ETF Trust (SPY)	3,600,000 contracts
iShares® Russell 2000® ETF (IWM)	1,000,000 contracts
INVESCO QQQTrust <sup>SM</sup> , Series 1 (QQQ)	1,800,000 contracts
iShares MSCI Emerging Markets ETF (EEM)	1,000,000 contracts
iShares China Large-Cap ETF (FXI)	1,000,000 contracts
iShares MSCI EAFE ETF (EFA)	1,000,000 contracts
iShares MSCI Brazil Capped ETF (EWZ)	500,000 contracts
iShares 20+ Year Treasury Bond Fund ETF (TLT)	500,000 contracts
iShares MSCI Japan ETF (EWJ)	500,000 contracts
iShares iBoxx High Yield Corporate Bond Fund (HYG)	500,000 contracts
Financial Select Sector SPDR Fund (XLF)	500,000 contracts
iShares iBoxx \$ Investment Grade Corporate Bond ETF ("LQD")	500,000 contracts
VanEck Vectors Gold Miners ETF ("GDX")	500,000 contracts

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