

Additions underlined

Deletions [bracketed]

**New York Stock Exchange  
Price List 2022**

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**Last Updated: September 1, 2022**

**Transaction Fees\***

Regular Session Trading<sup>1</sup>

Transactions in stocks with a per share stock price of \$1.00 or more

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Executions at the Close Equity Per Share Charge – per transaction (both sides) –

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Equity per Share Credit – per transaction – for all transactions of orders, other than MPL and Non-Display Reserve orders <sub>2</sub> , with a Retail Modifier that add liquidity to the NYSE	\$0.00 <u>32</u> [30]
Equity per Share Charge <sup>3</sup> for all other non-Floor broker transactions (i.e., when taking liquidity from the NYSE) unless the charge set forth immediately below applies - per transaction	\$0.0030: Tape A, B and C
Equity per Share Charge <sup>3</sup> for all other non-Floor broker transactions (i.e., when taking liquidity from the NYSE) if the Member Organization has an Adding ADV, excluding liquidity added by a DMM, that is at least:	

[250,000 ADV <sup>4</sup> on the NYSE in Tape A	\$0.00295: Tape A \$0.00285: Tape B and C]
<u>2,000,000</u> [3,500,000] ADV <sup>4</sup> on the NYSE in Tape A	\$0.00290: Tape A \$0.00285: Tape B and C

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**Other Credits**

Credit per Share – per transaction – for SLPs – when adding liquidity to the NYSE with orders <u>with a Retail Modifier</u> [designated as “retail” (i.e., orders that satisfy the Retail Modifier requirements of Rule 13) ]in securities with a per share price of \$1.00 or more.	\$0.00 <u>32</u> [30]; or the rates set forth elsewhere in this section if a Non-Displayed Reserve Order or an MPL Order
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Transaction Fees and Credits For Tape B and C Securities

Fees and Credits applicable to Market Participants

- Rebates indicated by parentheses ( ).
- For purposes of determining transaction fees and credits in UTP Securities based on quoting levels, average daily volume (“ADV”) and consolidated ADV (“CADV”) below, the Exchange may exclude shares traded any day that (1) the Exchange is not open for the entire trading day and/or (2) a disruption affects an Exchange system that lasts for more than 60 minutes during regular trading hours.

Category	Adding Liquidity	Removing Liquidity
Securities at or above \$1.00	(\$0.0020) per share (displayed) unless a credit below applies	\$0.0026 per share (Floor brokers)

Category	Adding Liquidity	Removing Liquidity
	<p>No charge (non-displayed)</p> <p>Per share in each tape (MPL) as specified above following the “Executions at the Close Equity Per Share Charge” section</p> <p>(\$0.0032[30]) per share (Retail)</p> <p>(\$0.0026) per share (displayed) (Floor brokers)</p> <p>Cross trades (i.e., a trade where a Floor broker executes customer orders to buy and sell an equivalent amount of the same security) – (\$0.0006)</p>	<p>Rates listed in the Tape A section of the Price List above unless a better rate below applies</p> <p>\$0.0026 per share for removing in Tape C if 0.25% Adding Tape C % of Tape C CADV.</p> <p>\$0.0027 per share for removing in Tape C if 0.10% Adding Tape C % of Tape C CADV.</p> <p>\$0.0026 per share for removing in both Tapes B and C if at least either:</p> <ul style="list-style-type: none"> <li>• 0.175% Removing ADV<sup>±</sup> in Tapes B and C combined as a percentage of Tape B and C CADV, that is also an 0.050% increase over the member organization’s November 2019 removing ADV, taken as a percentage of Tape B and C combined, or</li> <li>• 0.075% Removing ADV<sup>±</sup> in Tapes B and C combined as a percentage of Tape B</li> </ul>

Category	Adding Liquidity	Removing Liquidity
		<p>and C CADV, that is also an 0.050% increase over the member organization's November 2019 removing ADV, taken as a percentage of Tape B and C combined, and execute an ADV<sup>4</sup> of MOC and LOC activity combined on the NYSE in Tape A securities of at least 0.35% of NYSE CADV.</p>

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