

Additions: Underlined

Deletions: [Bracketed]

Rules of NYSE American LLC

General and Floor Rules

Rule 0. Regulation of the Exchange and its Member Organizations

(a) Notwithstanding the Exchange's adoption of Rules 1 - Equities-1004 - Equities (the "Equities Rules"), all transactions conducted on or through the legacy systems or facilities of the Exchange located at 86 Trinity Place, New York, New York, including the AEMI trading platform, shall continue to be governed by the legacy Rules of the Exchange (as such rules may be amended from time to time), including Rules 1-1605 (General & Floor Definitions and Rules, Office Rules, Arbitration Rules, Contracts in Securities, Trading of Option Contracts, Trading of Certain Equity Derivatives, Trading of Stock Index and Currency Warrants, Trading of Trust Issued Receipts, Rules for After-Hours Trading Facility, Trading of Paired Trust Shares, Trading of Partnership Units and Trading of Trust Units), the Company Guide and AEMI Rules 1-1500 (including Section 910 of the Company Guide) (collectively, the "Non- Equities Rules"). For the avoidance of doubt, all disciplinary matters arising under the Non- Equities Rules shall be governed by Disciplinary Rules under Section 9A or 9B of the Office Rules, as applicable.

(b) All transactions conducted on or through the systems or facilities of NYSE Market, Inc. operated on behalf of the Exchange ("Equities Trading Systems"), shall be governed by the Equities Rules in accordance with the provisions of Rule 0- Equities.

(c) The Exchange and the Financial Industry Regulatory Authority, Inc. ("FINRA") are parties to a Regulatory Services Agreement ("RSA") pursuant to which FINRA has agreed to perform certain regulatory functions of the Exchange on behalf of the Exchange. Exchange Rules that refer to Exchange staff or Exchange departments should be understood as also referring to FINRA staff and FINRA departments acting on behalf of the Exchange pursuant to the RSA, as applicable. Notwithstanding the fact that the Exchange has entered into an RSA with FINRA to perform certain of the Exchange's functions, the Exchange shall retain ultimate legal responsibility for, and control of, such functions.

(d) The Exchange's Rules shall apply to all member organizations and persons associated with a member organization. Persons associated with a member organization shall have the same duties and obligations as a member organization under these Rules.
