

Additions: Underlined
Deletions: [Bracketed]

Rules of NYSE American LLC

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Equities Rules

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Cash Equities Pillar Platform Rules (Rules 1E—13E)

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RULE 7E EQUITIES TRADING

Section 1. General Provisions

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Rule 7.19E. Pre-Trade Risk Controls

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(b) *Pre-Trade Risk Controls available to Entering Firms*

(1) “Gross Credit Risk Limits,” which means [a] pre-established maximum daily dollar amounts for purchases and sales across all symbols, where both buy and sell orders are counted as positive values. [For purposes of calculating the Gross Credit Risk Limit,] Available Gross Credit Risk Limits include:

(A) “Gross Credit Risk Limit - Open + Executed,” which includes unexecuted orders in the Exchange Book, orders routed on arrival pursuant to Rule 7.37E(a)(1), and executed orders[are included];

(B) “Gross Credit Risk Limit - Open Only,” which includes unexecuted orders in the Exchange Book and orders routed on arrival pursuant to Rule 7.37E(a)(1); and

(C) “Gross Credit Risk Limit - Executed Only,” which includes executed orders only.

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(c) *Pre-Trade Risk Controls Available to Clearing Firms*

(1) No change.

(A) No change.

(B) set one or more of the Gross Credit Risk Limits, Single Order Maximum Notional Value Risk Limit, or Single Order Maximum Quantity Risk Limit Pre-Trade Risk Controls on the Entering Firm's behalf.

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