Additions are <u>underlined;</u> Deletions are [bracketed].

NYSE AMEX OPTIONS FEE SCHEDULE*

*NYSE Amex Options is the options trading facility of NYSE Amex, LLC

Last Updated: October 5 [September 26], 2011

NYSE AMEX OPTIONS: TRADE-RELATED CHARGES

TRANSACTION FEE - PER CONTRACT

Order Type	Rate Per Contract
Specialists, eSpecialists ⁵	\$0.10
NYSE Amex Options Market Maker – Non Directed ⁵	\$0.17
NYSE Amex Options Market Maker – Directed ⁵	\$0.15
Non-NYSE Amex Options Market Maker Electronic ¹⁴	\$0.40
Non-NYSE Amex Options Market Maker Manual ¹⁴	\$0.25
Broker Dealer Electronic	\$0.20
Broker Dealer Manual	\$0.25
Professional Customer Electronic	\$0.20
Professional Customer Manual	\$0.25
Non BD Customer Electronic	\$0.00
Non BD Customer Manual	\$0.00
Firm Proprietary Electronic ⁶	\$0.20
Firm Proprietary Manual ⁶	\$0.25
Firm Facilitation ⁶	\$0.00
	·

[ELECTRONIC
COMPLEX ORDER
EXECUTIONS10,11Customer (excluding Professional Customer): \$0.00All Others:
Complex Order to
Complex Order to
Complex Order to
Complex Order to
S0.05 per contract side

Complex Order, same firm representing both sides Complex Orders executed against individual orders in the Consolidated Book will be subject to Standard Execution rate per contract.]

⁵ Specialist, e-Specialist, and Market Maker (both Directed and non-Directed) fees will be aggregated and capped at \$350,000 per month plus an incremental service fee of \$.01 per contract for all Specialist, e-Specialist and Market Maker volume executed in excess of 3,500,000 contracts per month, except for the execution of an Electronic Complex Order, or the execution of a QCC order against a non-Customer, in which case the incremental service fee is \$.05, [and] or the execution of a QCC order against a Customer, in which case the incremental service fee is \$.10. Any fees or volume associated with a Strategy Trade (reversals and conversions, dividend spreads, box spreads, short stock interest spreads, merger spreads, and jelly rolls) will not be counted towards either the \$350,000 cap, or the volume threshold of 3,500,000 contracts. Royalty Fees will continue to be charged and do not count toward the \$350,000 fee cap. [Complex Order fees will count toward both the \$350,000 and the 3,500,000 thresholds but will not themselves be capped.]

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