

Additions: Underlined

Deletions: [Bracketed]

Rules of NYSE Chicago, Inc.

Rule 0. Regulation of the Exchange and Participants

(a) The Exchange and FINRA are parties to a Regulatory Services Agreement ("RSA") pursuant to which FINRA has agreed to perform certain regulatory functions of the Exchange on behalf of the Exchange. Exchange Rules that refer to Exchange staff and Exchange departments should be understood as also referring to FINRA staff and FINRA departments acting on behalf of the Exchange pursuant to the RSA, as applicable. Notwithstanding the fact that the Exchange has entered into an RSA with FINRA to perform certain of the Exchange's functions, the Exchange shall retain ultimate legal responsibility for, and control of, such functions.

(b) The Exchange's Rules shall apply to all ETP Holders and persons associated with a Participant or Participant Firm. Persons associated with a Participant or Participant Firm shall have the same duties and obligations as a Participant or Participant Firm, as applicable, under these Rules.

Rule 1.1. Definitions
