

Additions: Underlined
Deletions: [Bracketed]

Rules of NYSE Chicago, Inc.

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RULE 7 EQUITIES TRADING

Section 1. General Provisions

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Rule 7.19. Pre-Trade Risk Controls

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(b) Pre-Trade Risk Controls available to Entering Firms

(1) “Gross Credit Risk Limits,” which means [a] pre-established maximum daily dollar amounts for purchases and sales across all symbols, where both buy and sell orders are counted as positive values. [For purposes of calculating the Gross Credit Risk Limit,] Available Gross Credit Risk Limits include:

(A) “Gross Credit Risk Limit - Open + Executed,” which includes unexecuted orders in the Exchange Book, orders routed on arrival pursuant to Rule 7.37(a)(1), and executed orders[are included]; and

(B) “Gross Credit Risk Limit - Open Only,” which includes unexecuted orders in the Exchange Book and orders routed on arrival pursuant to Rule 7.37(a)(1); and

(C) “Gross Credit Risk Limit - Executed Only,” which includes executed orders only.

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(c) Pre-Trade Risk Controls Available to Clearing Firms

(1) No change.

(A) No change.

(B) set one or more of the Gross Credit Risk Limits, Single Order Maximum Notional Value Risk Limit, or Single Order Maximum Quantity Risk Limit Pre-Trade Risk Controls on the Entering Firm's behalf.

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