Additions <u>underlined</u> Deletions [bracketed]

## Rules of NYSE National, Inc.

**RULE 1 DEFINITIONS** 

**RULE 2 TRADING PERMITS** 

RULE 3 ORGANIZATION AND ADMINISTRATION

RULE 4 CAPITAL REQUIREMENTS, FINANCIAL REPORTS, MARGIN

**RULE 5 EQUITIES LISTINGS** 

RULE 6 ORDER AUDIT TRAIL SYSTEM

**RULE 7 EQUITIES TRADING** 

RULE 8 TRADING OF CERTAIN EXCHANGE DERIVATIVES

RULE 9 CONDUCTING BUSINESS WITH THE PUBLIC

RULE 10 DISCIPLINARY PROCEEDINGS, OTHER HEARINGS AND APPEALS

**RULE 11 BUSINESS CONDUCT** 

**RULE 12 ARBITRATION** 

RULE 13 CANCELLATION, SUSPENSION, AND REINSTATEMENT

## RULE 14 LIABILITY OF DIRECTORS AND EXCHANGE

Chapter 1. Adoption, Interpretation and Application of Rules, and Definitions

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